

MOYNIHAN BRIAN T
Form 4
February 20, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MOYNIHAN BRIAN T

2. Issuer Name and Ticker or Trading Symbol
BANK OF AMERICA CORP /DE/ [BAC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
100 NORTH TRYON STREET

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
02/15/2019

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman and CEO

CHARLOTTE, NC 28255

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount or Price | | |
| Common Stock | 02/15/2019 | | M | | 76,720 A \$ 0 ⁽¹⁾ | 1,218,419 | D |
| Common Stock | 02/15/2019 | | F | | 34,557 ⁽²⁾ D \$ 29.11 | 1,183,862 | D |
| Common Stock | 02/15/2019 | | M | | 52,956 A \$ 0 ⁽¹⁾ | 1,236,818 | D |
| Common Stock | 02/15/2019 | | F | | 24,097 ⁽²⁾ D \$ 29.11 | 1,212,721 | D |
| Common Stock | 02/15/2019 | | M | | 46,058 A \$ 0 ⁽¹⁾ | 1,258,779 | D |

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| | | | | | | | | |
|--------------|------------|---|---------------|---|----------|-----------|---|-------------|
| Common Stock | 02/15/2019 | F | 20,990 (2) | D | \$ 29.11 | 1,237,789 | D | |
| Common Stock | 02/15/2019 | M | 17,272 | A | \$ 0 (3) | 1,255,061 | D | |
| Common Stock | 02/15/2019 | D | 17,272 | D | \$ 29.11 | 1,237,789 | D | |
| Common Stock | | | | | | 3,040.691 | I | 401(k) Plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|--------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | |
| 2016 Restricted Stock Units | (1) | 02/15/2019 | | M | 76,720 | (4) | 02/15/2019 | Common Stock | 76,720 |
| 2017 Restricted Stock Units | (1) | 02/15/2019 | | M | 52,956 | (5) | 02/15/2020 | Common Stock | 52,956 |
| 2018 Restricted Stock Units | (1) | 02/15/2019 | | M | 46,058 | (6) | 02/15/2021 | Common Stock | 46,058 |
| 2018 Cash Settled Restricted Stock Units | (3) | 02/15/2019 | | M | 17,272 | (7) | 02/15/2019 | Common Stock | 17,272 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|------------------|-------|
| | Director | 10% Owner | Officer | Other |
| MOYNIHAN BRIAN T 100 NORTH TRYON STREET CHARLOTTE, NC 28255 | X | | Chairman and CEO | |

Signatures

Brian T. Moynihan/Amanda D.
Daniel POA

02/20/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each unit represents a contingent right to receive one share of Bank of America Corporation common stock.
- (2) Disposition of shares to the issuer to satisfy a tax withholding obligation.
- (3) Each unit is the economic equivalent of one share of Bank of America Corporation common stock.
- (4) On February 12, 2016, the reporting person was granted units, vesting in three equal annual installments commencing on February 15, 2017.
- (5) On February 15, 2017, the reporting person was granted units, vesting in three equal annual installments commencing on February 15, 2018.
- (6) On February 15, 2018, the reporting person was granted units, vesting in three equal annual installments commencing on February 15, 2019.
- (7) On February 15, 2018, the reporting person was granted units, vesting and payable solely in cash as follows: 1/12th of the stock units vest and become payable on the 15th day of each month during the 12-month period beginning in March 2018 and ending in February 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.