

Rankin Lauran
Form 4
January 02, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Rankin Lauran

2. Issuer Name and Ticker or Trading Symbol
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
5875 LANDERBROOK DRIVE,
SUITE 300

3. Date of Earliest Transaction (Month/Day/Year)
12/28/2018

____ Director
____ Officer (give title below) Other (specify below)
Family Member of Group

(Street)
CLEVELAND, OH 44124

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Class A Common Stock	12/28/2018		P	1 ⁽¹⁾	\$ 61.63 ₍₂₎	91	I
							Spouse's proportionate interest in shares held by Rankin Associates V ₍₃₎
Class A Common Stock	12/28/2018		P	1 ⁽¹⁾	\$ 61.09 ₍₄₎	200	I
							Spouse's proportionate interests in shares held by

Edgar Filing: Rankin Lauran - Form 4

Class A Common Stock	12/28/2018	P	1 <u>(1)</u>	A	\$ 61.09 <u>(4)</u>	289	I	Rankin Associates VI <u>(3)</u> Reporting Person's trust's proportionate interests in shares held by Rankin Associates VI
Class A Common Stock	12/28/2018	P	3 <u>(1)</u>	A	\$ 61.09 <u>(4)</u>	530	I	Child's proportionate limited partnership interest in shares held by RA VI
Class A Common Stock	12/28/2018	P	1 <u>(1)</u>	A	\$ 61.63 <u>(2)</u>	201	I	Spouse's proportionate interests in shares held by Rankin Associates VI <u>(3)</u>
Class A Common Stock	12/28/2018	P	1 <u>(1)</u>	A	\$ 61.63 <u>(2)</u>	290	I	Reporting Person's trust's proportionate interests in shares held by Rankin Associates VI
Class A Common Stock	12/28/2018	P	2 <u>(1)</u>	A	\$ 61.63 <u>(2)</u>	532	I	Child's proportionate limited partnership interest in shares held by RA VI
Class A Common Stock	12/31/2018	P	1 <u>(1)</u>	A	\$ 62.35 <u>(5)</u>	92	I	Spouse's proportionate interest in shares held by Rankin Associates V <u>(3)</u>

Edgar Filing: Rankin Lauran - Form 4

Class A Common Stock	12/31/2018	P	1 <u>(1)</u>	A	\$ 61.81 <u>(6)</u>	202	I	Spouse's proportionate interests in shares held by Rankin Associates VI <u>(3)</u>
Class A Common Stock	12/31/2018	P	2 <u>(1)</u>	A	\$ 61.81 <u>(6)</u>	292	I	Reporting Person's trust's proportionate interests in shares held by Rankin Associates VI
Class A Common Stock	12/31/2018	P	4 <u>(1)</u>	A	\$ 61.81 <u>(6)</u>	536	I	Child's proportionate limited partnership interest in shares held by RA VI
Class A Common Stock	12/31/2018	P	1 <u>(1)</u>	A	\$ 62.35 <u>(5)</u>	203	I	Spouse's proportionate interests in shares held by Rankin Associates VI <u>(3)</u>
Class A Common Stock	12/31/2018	P	2 <u>(1)</u>	A	\$ 62.35 <u>(5)</u>	294	I	Reporting Person's trust's proportionate interests in shares held by Rankin Associates VI
Class A Common Stock	12/31/2018	P	2 <u>(1)</u>	A	\$ 62.35 <u>(5)</u>	538	I	Child's proportionate limited partnership interest in shares held by RA VI
Class A Common Stock						669	I	Child's proportionate limited

Class A Common Stock					10,895	I	partnership interest in shares held by RA II LP Spouse's proportionate interests in shares held by Rankin Associates II <u>(3)</u>
Class A Common Stock					769	I	Held by a Trust for the benefit of Reporting Person's Spouse <u>(3)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V	(A)	(D)
Class B Common Stock	<u>(7)</u>					<u>(7)</u>	<u>(7)</u>	Class A Common Stock	669
Class B Common	<u>(7)</u>					<u>(7)</u>	<u>(7)</u>	Class A Common	10,895

Stock

Stock

Class B Common Stock	(7)	(7)	(7)	Class A Common Stock	10,027
----------------------------	-----	-----	-----	----------------------------	--------

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Rankin Lauran
5875 LANDERBROOK DRIVE, SUITE 300
CLEVELAND, OH 44124

Family Member of Group

Signatures

/s/ Suzanne S. Taylor,
attorney-in-fact

01/02/2019

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Dec-28 -Block 2 Weighted Average- Share Price represents average price between \$61.50 and \$62.09.
- (3) Reporting Person disclaims beneficial ownership of all such shares.
- (4) 2018-Dec-28 -Block 1 Weighted Average- Share Price represents average price between \$60.50 and \$61.49.
- (5) 2018-Dec-31 -Block 2 Weighted Average- Share Price represents average price between \$62.25 and \$62.45.
- (6) 2018-Dec-31 -Block 1 Weighted Average- Share Price represents average price between \$61.20 and \$62.19.
- (7) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.