

RANKIN CLAIBORNE R

Form 4

December 19, 2018

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN CLAIBORNE R

2. Issuer Name **and** Ticker or Trading
Symbol
HYSTER-YALE MATERIALS
HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

5875 LANDERBROOK DRIVE

(Street)

MAYFIELD HEIGHTS, OH 44124

(City)

(State)

(Zip)

3. Date of Earliest Transaction
(Month/Day/Year)
12/18/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☒ Other (specify
below)

Member of a Group

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)	Price			
Class A Common Stock	12/18/2018		P		2 <u>(1)</u>	A	\$ 61.14	24,204	I	Serves as Trustee of GSTs for the benefit of Chloe R. Seelbach
Class A Common Stock	12/18/2018		P		2 <u>(1)</u>	A	\$ 61.14	24,204	I	Serves as Trustee of GSTs for the benefit of Claiborne Rankin Jr.

Edgar Filing: RANKIN CLAIBORNE R - Form 4

Class A Common Stock	12/18/2018	P	2 <u>(1)</u>	A	\$ 61.14	24,204	I	Serves as Trustee of the GSTs for the benefit of Julia R. Kuipers
Class A Common Stock	12/18/2018	P	3 <u>(1)</u>	A	\$ 62.47 <u>(2)</u>	543	I	Interest in Shares held by Rankin Associates V
Class A Common Stock	12/18/2018	P	62 <u>(1)</u>	A	\$ 62.47 <u>(2)</u>	24,266	I	Serves as Trustee of GSTs for the benefit of Chloe R. Seelbach
Class A Common Stock	12/18/2018	P	62 <u>(1)</u>	A	\$ 62.47 <u>(2)</u>	24,266	I	Serves as Trustee of GSTs for the benefit of Claiborne Rankin Jr.
Class A Common Stock	12/18/2018	P	61 <u>(1)</u>	A	\$ 62.47 <u>(2)</u>	24,265	I	Serves as Trustee of the GSTs for the benefit of Julia R. Kuipers
Class A Common Stock	12/18/2018	P	4 <u>(1)</u>	A	\$ 61.14	24,270	I	Serves as Trustee of GSTs for the benefit of Chloe R. Seelbach
Class A Common Stock	12/18/2018	P	4 <u>(1)</u>	A	\$ 61.14	24,270	I	Serves as Trustee of GSTs for the benefit of Claiborne Rankin Jr.
Class A Common Stock	12/18/2018	P	4 <u>(1)</u>	A	\$ 61.14	24,269	I	Serves as Trustee of the GSTs for the benefit of Julia R. Kuipers
	12/18/2018	P	1 <u>(1)</u>	A		222	I	

Edgar Filing: RANKIN CLAIBORNE R - Form 4

Class A Common Stock					\$ 61.14			Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/18/2018	P	107 ⁽¹⁾	A	\$ 62.47 <u>(2)</u>	24,377	I	Serves as Trustee of GSTs for the benefit of Chloe R. Seelbach
Class A Common Stock	12/18/2018	P	107 ⁽¹⁾	A	\$ 62.47 <u>(2)</u>	24,377	I	Serves as Trustee of GSTs for the benefit of Claiborne Rankin Jr.
Class A Common Stock	12/18/2018	P	107 ⁽¹⁾	A	\$ 62.47 <u>(2)</u>	24,376	I	Serves as Trustee of the GSTs for the benefit of Julia R. Kuipers
Class A Common Stock	12/18/2018	P	2 ⁽¹⁾	A	\$ 62.47 <u>(2)</u>	224	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/18/2018	P	5 ⁽¹⁾	A	\$ 62.47 <u>(2)</u>	485	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						35,857	I	Spouse's proportionate interests in shares held by Rankin Associates I. <u>(3)</u>
Class A Common Stock						2,116	I	spouse's proportionate limited partnership interest in shares held by

			Rankin Associates II LP ⁽³⁾
Class A Common Stock	2,283	I	Held in trust fbo Reporting Person's spouse. ⁽³⁾
Class A Common Stock	19	I	Rankin Associates, IV, L.P. held by the Trust for the benefit of Reporting Person as general partner
Class A Common Stock	14,567	I	proportionate limited partnership interest in shares held by Rankin Associates I, L.P
Class A Common Stock	35,628	I	proportionate limited partnership interests in shares held by Rankin Associates II, L.P
Class A Common Stock	65,824	I	proportionate limited partnership interest in shares held by Rankin Associates IV, L.P
Class A Common Stock	64	I	interest in shares held by RAV held by Rankin Management, Inc. ("RMI")
	76	I	

Edgar Filing: RANKIN CLAIBORNE R - Form 4

Class A Common Stock							interest in shares held by RAVI held by Rankin Management, Inc. ("RMI")
Class A Common Stock					1,975	I	proportionate interest in shares held by Rankin Management, Inc. ("RMI")
Class A Common Stock					132,073	I	Reporting Person serves as Trustee of a Trust for the benefit of Claiborne R. Rankin

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price Derivati Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	(4)					(4)	(4)	Class A Common Stock	64,143
Class B Common	(4)					(4)	(4)	Class A Common	2,116

Edgar Filing: RANKIN CLAIBORNE R - Form 4

Stock

Stock

Class B
Common
Stock

(4)

(4)

(4)

Class A
Common
Stock

2,783

Class B
Common
Stock

(4)

(4)

(4)

Class A
Common
Stock

31

Class B
Common
Stock

(4)

(4)

(4)

Class A
Common
Stock

26,057

Class B
Common
Stock

(4)

(4)

(4)

Class A
Common
Stock

35,628

Class B
Common
Stock

(4)

(4)

(4)

Class A
Common
Stock

104,286

Class B
Common
Stock

(4)

(4)

(4)

Class A
Common
Stock

1,975

Class B Common Stock	(4)	(4)	(4)	Class A Common Stock	123,760
Class B Common Stock	(4)	(4)	(4)	Class A Common Stock	6,889
Class B Common Stock	(4)	(4)	(4)	Class A Common Stock	6,889
Class B Common Stock	(4)	(4)	(4)	Class A Common Stock	6,889

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RANKIN CLAIBORNE R 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124	X			Member of a Group

Signatures

/s/ Suzanne S. Taylor,
attorney-in-fact

12/19/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Dec-18-Weighted Average - Share Price represents average price between \$62.00 and \$62.49.

Edgar Filing: RANKIN CLAIBORNE R - Form 4

- (3) Reporting Person disclaims beneficial ownership of all such shares.
- (4) N/A
- (5) proportionate limited partnership interest in shares of Rankin Associates, IV, L.P. held by the Trust for the benefit of Reporting Person, as general partner.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.