

WILLIAMS DAVID B

Form 4

November 20, 2018

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**WILLIAMS DAVID B**

2. Issuer Name **and** Ticker or Trading  
Symbol  
**HYSTER-YALE MATERIALS  
HANDLING, INC. [HY]**

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
**5875 LANDERBROOK DRIVE**  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
**11/15/2018**

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title \_\_\_\_X\_\_\_\_ Other (specify  
below) below)  
Member of a Group

**MAYFIELD HEIGHTS, OH 44124**

(City) (State) (Zip)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)                           |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|
| Class A<br>Common<br>Stock            | 11/15/2018                              |                                                             | G                                    | V 398 A \$ 0                                                            | 5,524                                                                                                              | I                                                                       | Spouse's<br>proportionate<br>partnership<br>interest shares<br>held by AMR<br>Associates LP |
| Class A<br>Common<br>Stock            | 11/15/2018                              |                                                             | G                                    | V 398 A \$ 0                                                            | 677                                                                                                                | I                                                                       | Child's<br>proportionate<br>partnership<br>interest shares<br>held by AMR<br>Associates LP  |

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|                            |            |   |   |         |   |                 |        |   |                                                                                                    |
|----------------------------|------------|---|---|---------|---|-----------------|--------|---|----------------------------------------------------------------------------------------------------|
| Class A<br>Common<br>Stock | 11/15/2018 | G | V | 398     | A | \$ 0            | 677    | I | Child's<br>proportionate<br>partnership<br>interest shares<br>held by AMR<br>Associates LP         |
| Class A<br>Common<br>Stock | 11/15/2018 | G | V | 78      | A | \$ 0            | 78     | I | Spouse's<br>proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates V<br>(1)       |
| Class A<br>Common<br>Stock | 11/16/2018 | P |   | 202 (2) | A | \$ 63.3146      | 91,885 | I | Held by trust<br>for the benefit<br>of Reporting<br>Person's<br>Spouse (1)                         |
| Class A<br>Common<br>Stock | 11/16/2018 | P |   | 1 (2)   | A | \$ 63.31<br>(3) | 79     | I | Spouse's<br>proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates V<br>(1)       |
| Class A<br>Common<br>Stock | 11/16/2018 | P |   | 2 (2)   | A | \$ 63.31<br>(3) | 364    | I | Spouse's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI<br>(1)       |
| Class A<br>Common<br>Stock | 11/16/2018 | P |   | 2 (2)   | A | \$ 63.31<br>(3) | 433    | I | Reporting<br>person's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI |
| Class A<br>Common<br>Stock | 11/16/2018 | P |   | 3 (2)   | A | \$ 63.31<br>(3) | 432    | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI               |
| Class A<br>Common          | 11/16/2018 | P |   | 3 (2)   | A | \$ 63.31<br>(3) | 432    | I | Child's<br>proportionate                                                                           |

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|                            |            |   |                |   |               |        |   |                                                                                                     |
|----------------------------|------------|---|----------------|---|---------------|--------|---|-----------------------------------------------------------------------------------------------------|
| Stock                      |            |   |                |   |               |        |   | interest in<br>shares held by<br>Rankin<br>Associates VI                                            |
| Class A<br>Common<br>Stock | 11/19/2018 | P | 200 <u>(2)</u> | A | \$<br>63.7977 | 92,085 | I | Held by trust<br>for the benefit<br>of Reporting<br>Person's<br>Spouse <u>(1)</u>                   |
| Class A<br>Common<br>Stock | 11/19/2018 | P | 2 <u>(2)</u>   | A | \$ 63.71      | 366    | I | Spouse's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI<br><u>(1)</u> |
| Class A<br>Common<br>Stock | 11/19/2018 | P | 3 <u>(2)</u>   | A | \$ 63.71      | 436    | I | Reporting<br>person's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI  |
| Class A<br>Common<br>Stock | 11/19/2018 | P | 2 <u>(2)</u>   | A | \$ 63.71      | 434    | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI                |
| Class A<br>Common<br>Stock | 11/19/2018 | P | 2 <u>(2)</u>   | A | \$ 63.71      | 434    | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI                |
| Class A<br>Common<br>Stock | 11/19/2018 | P | 1 <u>(2)</u>   | A | \$ 65         | 435    | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI                |
| Class A<br>Common<br>Stock | 11/19/2018 | P | 1 <u>(2)</u>   | A | \$ 65         | 435    | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI                |

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|                            |        |   |                                                                                                                             |
|----------------------------|--------|---|-----------------------------------------------------------------------------------------------------------------------------|
| Class A<br>Common<br>Stock | 49,811 | I | Spouse's<br>proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates I.<br><u>(1)</u>                        |
| Class A<br>Common<br>Stock | 11,750 | I | Spouse's<br>proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates II.<br><u>(1)</u>                       |
| Class A<br>Common<br>Stock | 32,369 | I | Spouse's<br>proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates<br>IV. <u>(1)</u>                       |
| Class A<br>Common<br>Stock | 3,162  | D |                                                                                                                             |
| Class A<br>Common<br>Stock | 7,104  | I | proportionate<br>LP interest in<br>shares held by<br>RA II, L.P                                                             |
| Class A<br>Common<br>Stock | 9,945  | I | Minor child's<br>trust's<br>proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates II.<br><u>(1)</u>       |
| Class A<br>Common<br>Stock | 2,983  | I | Reporting<br>Person is<br>Trustee of a<br>Trust for the<br>benefit of<br>Reporting<br>Person's<br>minor child<br><u>(1)</u> |
| Class A<br>Common          | 8,570  | I | Minor child's<br>trust's                                                                                                    |

|                      |  |  |  |  |       |   |                                                                                                         |
|----------------------|--|--|--|--|-------|---|---------------------------------------------------------------------------------------------------------|
| Stock                |  |  |  |  |       |   | proportionate interests in shares held by Rankin Associates II. <sup>(1)</sup>                          |
| Class A Common Stock |  |  |  |  | 4,357 | I | Reporting Person is Trustee of a Trust for the benefit of Reporting Person's minor child <sup>(1)</sup> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|--------------------------------------------|
|                                            |                                                        |                                      |                                                    | Code                           | V (A) (D)                                                                               | Date Exercisable Expiration Date                         | Title Amount or Number of Shares                              |                                            |
| Class B Common Stock                       | <u>(4)</u>                                             | 11/15/2018                           |                                                    | G                              | V 463                                                                                   | <u>(4)</u> <u>(4)</u>                                    | Class A Common Stock 463                                      | \$ 0                                       |
| Class B Common Stock                       | <u>(4)</u>                                             | 11/15/2018                           |                                                    | G                              | V 464                                                                                   | <u>(4)</u> <u>(4)</u>                                    | Class A Common Stock 464                                      | \$ 0                                       |

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|                            |            |            |         |            |            |                            |        |      |
|----------------------------|------------|------------|---------|------------|------------|----------------------------|--------|------|
| Class B<br>Common<br>Stock | <u>(4)</u> | 11/15/2018 | G V 464 | <u>(4)</u> | <u>(4)</u> | Class A<br>Common<br>Stock | 464    | \$ 0 |
| Class B<br>Common<br>Stock | <u>(4)</u> |            |         | <u>(4)</u> | <u>(4)</u> | Class A<br>Common<br>Stock | 89,105 |      |
| Class B<br>Common<br>Stock | <u>(4)</u> |            |         | <u>(4)</u> | <u>(4)</u> | Class A<br>Common<br>Stock | 11,750 |      |
| Class B<br>Common<br>Stock | <u>(4)</u> |            |         | <u>(4)</u> | <u>(4)</u> | Class A<br>Common<br>Stock | 51,283 |      |
| Class B<br>Common<br>Stock | <u>(4)</u> |            |         | <u>(4)</u> | <u>(4)</u> | Class A<br>Common<br>Stock | 58,586 |      |
| Class B<br>Common<br>Stock | <u>(4)</u> |            |         | <u>(4)</u> | <u>(4)</u> | Class A<br>Common<br>Stock | 2,332  |      |
| Class B<br>Common<br>Stock | <u>(4)</u> |            |         | <u>(4)</u> | <u>(4)</u> | Class A<br>Common<br>Stock | 7,104  |      |
| Class B<br>Common<br>Stock | <u>(4)</u> |            |         | <u>(4)</u> | <u>(4)</u> | Class A<br>Common<br>Stock | 9,945  |      |

|                            |     |     |     |                            |       |
|----------------------------|-----|-----|-----|----------------------------|-------|
| Class B<br>Common<br>Stock | (4) | (4) | (4) | Class A<br>Common<br>Stock | 2,152 |
|----------------------------|-----|-----|-----|----------------------------|-------|

|                            |     |     |     |                            |       |
|----------------------------|-----|-----|-----|----------------------------|-------|
| Class B<br>Common<br>Stock | (4) | (4) | (4) | Class A<br>Common<br>Stock | 8,570 |
|----------------------------|-----|-----|-----|----------------------------|-------|

|                            |     |     |     |                            |       |
|----------------------------|-----|-----|-----|----------------------------|-------|
| Class B<br>Common<br>Stock | (4) | (4) | (4) | Class A<br>Common<br>Stock | 3,528 |
|----------------------------|-----|-----|-----|----------------------------|-------|

## Reporting Owners

| Reporting Owner Name / Address                                           | Relationships |           |         |                   |
|--------------------------------------------------------------------------|---------------|-----------|---------|-------------------|
|                                                                          | Director      | 10% Owner | Officer | Other             |
| WILLIAMS DAVID B<br>5875 LANDERBROOK DRIVE<br>MAYFIELD HEIGHTS, OH 44124 |               |           |         | Member of a Group |

## Signatures

|                                            |            |
|--------------------------------------------|------------|
| /s/ Suzanne S. Taylor,<br>attorney-in-fact | 11/19/2018 |
|--------------------------------------------|------------|

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person disclaims beneficial ownership of all such shares.
- (2) Shares purchased pursuant to 10b5-1 plan

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(3) 2018-Nov-16-Weighted Average - Share Price represents average price between \$62.90 and \$63.50.

(4) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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