

RANKIN CHLOE O
Form 4
November 02, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN CHLOE O

2. Issuer Name and Ticker or Trading Symbol
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
5875 LANDERBROOK DRIVE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
10/31/2018

____ Director _____ 10% Owner
____ Officer (give title below) Other (specify below)
Member of a Group

MAYFIELD HEIGHTS, OH 44124
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Class A Common Stock	10/31/2018		P	1 ⁽¹⁾ A \$ 61.07 ₍₂₎ 223	I	Spouse's Interest in Shares held by Rankin Associates V	
Class A Common Stock	10/31/2018		P	1 ⁽¹⁾ A \$ 62.97 ₍₃₎ 224	I	Spouse's Interest in Shares held by Rankin Associates V	
Class A Common	10/31/2018		P	53 ⁽¹⁾ A \$ 61.07 13,246	I	Spouse serves as trustee of	

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Stock					<u>(2)</u>				GSTs for the benefit of Chloe R. Seelbach
Class A Common Stock	10/31/2018	P	53 <u>(1)</u>	A	\$ 61.07 <u>(2)</u>	13,246	I		Spouse serves as trustee of GSTs for the benefit of Claiborne Rankin Jr.
Class A Common Stock	10/31/2018	P	53 <u>(1)</u>	A	\$ 61.07 <u>(2)</u>	13,246	I		Spouse serves as trustee of GSTs for the benefit of Julia Kuipers
Class A Common Stock	10/31/2018	P	1 <u>(1)</u>	A	\$ 61.07 <u>(2)</u>	185	I		Spouse's proportionate interest in shares held in Rankin Associates VI
Class A Common Stock	10/31/2018	P	1 <u>(1)</u>	A	\$ 61.07 <u>(2)</u>	185	I		Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/31/2018	P	23 <u>(1)</u>	A	\$ 61.83 <u>(4)</u>	13,269	I		Spouse serves as trustee of GSTs for the benefit of Chloe R. Seelbach
Class A Common Stock	10/31/2018	P	23 <u>(1)</u>	A	\$ 61.83 <u>(4)</u>	13,269	I		Spouse serves as trustee of GSTs for the benefit of Claiborne Rankin Jr.
Class A Common Stock	10/31/2018	P	23 <u>(1)</u>	A	\$ 61.83 <u>(4)</u>	13,269	I		Spouse serves as trustee of GSTs for the benefit of Julia Kuipers
Class A Common Stock	10/31/2018	P	41 <u>(1)</u>	A	\$ 62.97 <u>(3)</u>	13,310	I		Spouse serves as trustee of GSTs for the benefit of

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Class A Common Stock	10/31/2018	P	41 ⁽¹⁾	A	\$ 62.97 <u>(3)</u>	13,310	I	Chloe R. Seelbach Spouse serves as trustee of GSTs for the benefit of Claiborne Rankin Jr.
Class A Common Stock	10/31/2018	P	41 ⁽¹⁾	A	\$ 62.97 <u>(3)</u>	13,310	I	Spouse serves as trustee of GSTs for the benefit of Julia Kuipers
Class A Common Stock	10/31/2018	P	1 ⁽¹⁾	A	\$ 62.97 <u>(3)</u>	186	I	Spouse's proportionate interest in shares held in Rankin Associates VI
Class A Common Stock	10/31/2018	P	1 ⁽¹⁾	A	\$ 62.97 <u>(3)</u>	186	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/01/2018	P	1 ⁽¹⁾	A	\$ 61.67 <u>(5)</u>	225	I	Spouse's Interest in Shares held by Rankin Associates V
Class A Common Stock	11/01/2018	P	1 ⁽¹⁾	A	\$ 62.18 <u>(6)</u>	226	I	Spouse's Interest in Shares held by Rankin Associates V
Class A Common Stock	11/01/2018	P	7 ⁽¹⁾	A	\$ 60.03 <u>(7)</u>	13,317	I	Spouse serves as trustee of GSTs for the benefit of Chloe R. Seelbach
Class A Common Stock	11/01/2018	P	7 ⁽¹⁾	A	\$ 60.03 <u>(7)</u>	13,317	I	Spouse serves as trustee of GSTs for the benefit of Claiborne Rankin Jr.

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Class A Common Stock	11/01/2018	P	7 ⁽¹⁾	A	\$ 60.03 <u>(7)</u>	13,317	I	Spouse serves as trustee of GSTs for the benefit of Julia Kuipers
Class A Common Stock						35,857	I	proportionate LP interest in shares held by RA1
Class A Common Stock						2,116	I	proportionate limited partnership interests in shares held by Rankin Associates II, L.P
Class A Common Stock						2,283	I	serves as Trustee of a Trust for the benefit of Chloe O. Rankin
Class A Common Stock						19	I	spouses proportionate GP interest in shares of RA IV ⁽⁸⁾
Class A Common Stock						14,567	I	spouse proportionate limited partnership interest in shares held by RA I LP ⁽⁸⁾
Class A Common Stock						36,890	I	spouse's proportionate interest in shares held by RAII ⁽⁸⁾
Class A Common Stock						65,824	I	proportionate limited partnership interest in shares held by Rankin Associates IV,

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Class A Common Stock	1,975	I	L.P ⁽⁸⁾ spouse's proportionate interest in shares held by Rankin Management, Inc. ("RMI") ⁽⁸⁾
Class A Common Stock	56	I	Spouse's interest in shares held by RA5 held by Rankin Management
Class A Common Stock	132,073	I	spouse serves as Trustee of a Trust for the benefit of Claiborne R. Rankin ⁽⁸⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	<u>(9)</u>					<u>(9)</u>	<u>(9)</u>	Class A Common Stock	64,143
Class B Common	<u>(9)</u>					<u>(9)</u>	<u>(9)</u>	Class A Common	2,116

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Stock				Stock	
Class B Common Stock	<u>(9)</u>	<u>(9)</u>	<u>(9)</u>	Class A Common Stock	2,783
Class B Common Stock	<u>(9)</u>	<u>(9)</u>	<u>(9)</u>	Class A Common Stock	31
Class B Common Stock	<u>(9)</u>	<u>(9)</u>	<u>(9)</u>	Class A Common Stock	26,057
Class B Common Stock	<u>(9)</u>	<u>(9)</u>	<u>(9)</u>	Class A Common Stock	36,890
Class B Common Stock	<u>(9)</u>	<u>(9)</u>	<u>(9)</u>	Class A Common Stock	104,286
Class B Common Stock	<u>(9)</u>	<u>(9)</u>	<u>(9)</u>	Class A Common Stock	1,975
	<u>(9)</u>	<u>(9)</u>	<u>(9)</u>		123,760

Class B
Common
Stock

Class A
Common
Stock

Class B
Common
Stock

(9)

(9)

(9)

Class A
Common
Stock

6,889

Class B
Common
Stock

(9)

(9)

(9)

Class A
Common
Stock

6,889

Class B
Common
Stock

(9)

(9)

(9)

Class A
Common
Stock

6,889

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RANKIN CHLOE O 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124				Member of a Group

Signatures

/s/ Suzanne S. Taylor,
attorney-in-fact

11/02/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Oct-31 -Block 1 Weighted Average- Share Price represents average price between \$60.50 and \$61.34.
- (3) 2018-Oct-31 -Block 3 Weighted Average- Share Price represents average price between \$62.85 and \$64.99.
- (4) 2018-Oct-31 -Block 2 Weighted Average- Share Price represents average price between \$61.50 and \$62.00.
- (5) 2018-Nov-1 -Block 2 Weighted Average- Share Price represents average price between \$61.25 and \$61.96.

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- (6) 2018-Nov-1 -Block 3 Weighted Average- Share Price represents average price between \$62.00 and \$62.97.
- (7) 2018-Nov-1 -Block 1 Weighted Average- Share Price represents average price between \$60.00 and \$60.05.
- (8) Reporting Person disclaims beneficial ownership of all such shares.
- (9) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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