

BUTLER JOHN C JR
Form 4
October 31, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BUTLER JOHN C JR

2. Issuer Name and Ticker or Trading Symbol
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
5875 LANDERBROOK DRIVE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
10/29/2018

____ Director
____ Officer (give title below) Other (specify below)
Member of a Group

MAYFIELD HEIGHTS, OH 44124
(City) (State) (Zip)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	10/29/2018		P	397 ⁽¹⁾ A	\$ 61.6239 88,888	I	Held by Trust for the benefit of Reporting Person's Spouse ⁽²⁾
Class A Common Stock	10/29/2018		P	2 ⁽¹⁾ A	\$ 61.53 ⁽³⁾ 181	I	Spouse's proportionate interest in shares held by Rankin Associates VI
	10/29/2018		P	2 ⁽¹⁾ A	181	I	

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Class A Common Stock					\$ 61.53 <u>(3)</u>			Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/29/2018	P	2 <u>(1)</u>	A	\$ 61.53 <u>(3)</u>	181	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/29/2018	P	2 <u>(1)</u>	A	\$ 61.53 <u>(3)</u>	181	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/29/2018	P	1 <u>(1)</u>	A	\$ 61.91 <u>(4)</u>	182	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/29/2018	P	1 <u>(1)</u>	A	\$ 61.91 <u>(4)</u>	182	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/30/2018	P	397 <u>(1)</u>	A	\$ 61.7641	89,285	I	Held by Trust for the benefit of Reporting Person's Spouse <u>(2)</u>
Class A Common Stock	10/30/2018	P	1 <u>(1)</u>	A	\$ 60.97 <u>(5)</u>	183	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/30/2018	P	1 <u>(1)</u>	A	\$ 60.97 <u>(5)</u>	183	I	Reporting person's proportionate interest in

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Class A Common Stock	10/30/2018	P	1 <u>(1)</u>	A	\$ <u>60.97</u> <u>(5)</u>	182	I	shares held by Rankin Associates VI Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/30/2018	P	1 <u>(1)</u>	A	\$ <u>60.97</u> <u>(5)</u>	182	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/30/2018	P	1 <u>(1)</u>	A	\$ <u>62.46</u> <u>(6)</u>	184	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/30/2018	P	1 <u>(1)</u>	A	\$ <u>62.46</u> <u>(6)</u>	184	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/30/2018	P	1 <u>(1)</u>	A	\$ <u>62.46</u> <u>(6)</u>	183	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/30/2018	P	1 <u>(1)</u>	A	\$ <u>62.46</u> <u>(6)</u>	183	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						279	I	child's proportionate partnership interest shares held by AMR Associates LP
						8,211	I	

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Class A Common Stock				Minor child's trust's proportionate interests in shares held by Rankin Associates II. <u>(2)</u>
Class A Common Stock	4,513	I		Reporting Person is trustee of Trust fbo minor child. <u>(2)</u>
Class A Common Stock	279	I		child's proportionate partnership interest shares held by AMR Associates LP
Class A Common Stock	8,367	I		Minor child's trust's proportionate interests in shares held by Rankin Associates II. <u>(2)</u>
Class A Common Stock	4,357	I		Reporting Person is trustee of Trust fbo minor child. <u>(2)</u>
Class A Common Stock	5,126	I		Spouse's proportionate partnership interest shares held by AMR Associates LP
Class A Common Stock	49,811	I		spouse's proportionate limited partnership interests in shares held by Rankin

Class A Common Stock	12,058	I	Associates I, L.P ⁽²⁾ spouse's proportionate limited partnership interests in shares held by Rankin Associates II, L.P ⁽²⁾
Class A Common Stock	32,369	I	Spouse's proportionate limited partnership interest in shares held by RAIV Class A ⁽²⁾
Class A Common Stock	2,800	I	Held in an Individual Retirement Account for the benefit of the Reporting Person
Class A Common Stock	7,634	I	proportionate limited partnership interests in shares held by Rankin Associates II, L.P
Class A Common Stock	26,313	I	Held in trust fbo Reporting Person.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	(7)					(7)	(7)	Class A Common Stock	326
Class B Common Stock	(7)					(7)	(7)	Class A Common Stock	8,211
Class B Common Stock	(7)					(7)	(7)	Class A Common Stock	3,683
Class B Common Stock	(7)					(7)	(7)	Class A Common Stock	326
Class B Common Stock	(7)					(7)	(7)	Class A Common Stock	8,367
	(7)					(7)	(7)		3,527

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Class B Common Stock				Class A Common Stock	
Class B Common Stock	(7)		(7)	Class A Common Stock	5,972
Class B Common Stock	(7)		(7)	Class A Common Stock	89,105
Class B Common Stock	(7)		(7)	Class A Common Stock	12,058
Class B Common Stock	(7)		(7)	Class A Common Stock	51,283
Class B Common Stock	(7)		(7)	Class A Common Stock	58,586
Class B Common Stock	(7)		(7)	Class A Common Stock	2,800

