

Fisher Daniel William
Form 4
February 15, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Fisher Daniel William

(Last) (First) (Middle)
10 LONGS PEAK DRIVE
(Street)

BROOMFIELD, CO 80021

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
BALL Corp [BLL]

3. Date of Earliest Transaction
(Month/Day/Year)
02/13/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Sr VP & COO Global Bev Pkg

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	02/13/2018		M ⁽¹⁾		5,000	A	\$ 37.76
Common Stock	02/13/2018		F ⁽²⁾		1,450	D	\$ 37.76
Common Stock	02/14/2018		M ⁽¹⁾		2,500	A	\$ 38.12
Common Stock	02/14/2018		F ⁽²⁾		725	D	\$ 38.12
Common Stock	02/14/2018		M ⁽³⁾		1,750	A	\$ 18.85

Edgar Filing: Fisher Daniel William - Form 4

Common Stock	02/14/2018	F ⁽⁴⁾	1,122	D	\$ 38.12	23,170	D	
Common Stock	02/14/2018	M ⁽³⁾	7,000	A	\$ 38.12	30,170	D	
Common Stock	02/14/2018	F ⁽⁴⁾	5,229	D	\$ 38.12	24,941	D	
401K						1,821.259	I	401(k) Plan ⁽⁵⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Restricted Stock Units	<u>(6)</u>	02/13/2018		M ⁽⁷⁾	5,000	<u>(7)</u> <u>(7)</u>	Common Stock	5,000
Restricted Stock Units	<u>(6)</u>	02/14/2018		M ⁽⁷⁾	2,500	<u>(7)</u> <u>(7)</u>	Common Stock	2,500
Stock Appreciation Rights (sars)	\$ 18.85	02/14/2018		M ⁽⁸⁾	1,750	01/25/2013 01/25/2022	Common Stock	1,750
Stock Appreciation Rights (sars)	\$ 24.535	02/14/2018		M ⁽⁸⁾	7,000	01/29/2015 01/29/2024	Common Stock	7,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other

Fisher Daniel William
10 LONGS PEAK DRIVE
BROOMFIELD, CO 80021

Sr VP & COO Global Bev Pkg

Signatures

/s/ Janice L. Rodriguez, attorney-in-fact for Mr.
Fisher

02/15/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Common stock acquired upon the lapse of Table II Restricted Stock Units.
- (2) Shares withheld for the payment of the tax obligation on the lapse of restrictions on Table II Restricted Stock Units.
- (3) Common stock acquired upon exercise of Stock Appreciation Rights (SARs).
- (4) Shares utilized to pay for the cost of the SARS and for payment of the tax obligation on the SARS exercise.
- (5) Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.
- (6) Each restricted stock unit represents a contingent right to receive one share of Ball Corporation Common Stock.
- (7) Lapse of restricted stock units.
- (8) Exercise of Stock Appreciation Rights (SARS)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.