

JOHNSON GREGORY E

Form 5

October 30, 2017

FORM 5**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**
Washington, D.C. 20549Check this box if
no longer subject
to Section 16.Form 4 or Form
5 obligations
may continue.See Instruction
1(b).Form 3 Holdings
Reported

Form 4

Transactions

Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0362Expires: January 31,
2005Estimated average
burden hours per
response... 1.01. Name and Address of Reporting Person *
JOHNSON GREGORY E

(Last) (First) (Middle)

C/O FRANKLIN RESOURCES,
INC., ONE FRANKLIN
PARKWAY

(Street)

SAN MATEO, CA 94403-1906

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
FRANKLIN RESOURCES INC
[BEN]3. Statement for Issuer's Fiscal Year Ended
(Month/Day/Year)
09/30/20174. If Amendment, Date Original
Filed(Month/Day/Year)5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Chairman and CEO6. Individual or Joint/Group Reporting
(check applicable line)☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$.10	12/19/2016	Â	G	127 D	\$ 0 (1) 2,104,098	D	Â
Common Stock, par value \$.10	12/20/2016	Â	G	5,000 D	\$ 0 (1) 2,104,098	D	Â
	12/22/2016	Â	G	1,384 D	2,104,098	D	Â

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Common Stock, par value \$.10						\$ 0 (1)			
Common Stock, par value \$.10	12/22/2016	Â	G	1,384	A	\$ 0 (1)	70,506	I	As A Trustee For Minor Child (2)
Common Stock, par value \$.10	12/27/2016	Â	G	10,000	D	\$ 0 (1)	2,104,098	D	Â
Common Stock, par value \$.10	04/26/2017	Â	G	50	D	\$ 0 (1)	2,104,098	D	Â
Common Stock, par value \$.10	08/17/2017	Â	G	4,700	D	\$ 0 (1)	2,104,098	D	Â
Common Stock, par value \$.10	08/31/2017	Â	G	2,910	D	\$ 0 (1)	2,104,098	D	Â
Common Stock, par value \$.10	Â	Â	Â	Â	Â	Â	5,015.5135	I	By 401(k) (3)
Common Stock, par value \$.10	Â	Â	Â	Â	Â	Â	2,565,000	I	By Business Limited Partnership (4)
Common Stock, par value \$.10	Â	Â	Â	Â	Â	Â	8,100	I	By Business Trust (5)
Common Stock, par value \$.10	Â	Â	Â	Â	Â	Â	18,014	I	By Spouse (6)
Common Stock, par value \$.10	Â	Â	Â	Â	Â	Â	396,000	I	By Venture Limited Partnership (7)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transaction	5. Number	6. Date Exercisable and Expiration Date	7. Title and Amount of	8. Price of Derivative	9. of
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Security (Instr. 3)	or Exercise Price of Derivative Security	any (Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year)	Underlying Securities (Instr. 3 and 4)	Security (Instr. 5)	D
				(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
JOHNSON GREGORY E C/O FRANKLIN RESOURCES, INC. ONE FRANKLIN PARKWAY SAN MATEO, CA 94403-1906	X	X	X	Chairman and CEO

Signatures

/s/ Gregory E. Johnson 10/30/2017

Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Not applicable.
- (2) Shares held by Reporting Person as trustee for Reporting Person's minor children. Reporting Person disclaims beneficial ownership of such shares.
- (3) Reporting Person holds shares in the Franklin Templeton 401(k) Retirement Plan. Information is based on a plan statement as of September 30, 2017.
- (4) Business limited partnership under control of Reporting Person.
- (5) Business trust for the benefit of Reporting Person and Reporting Person's children.
- (6) Shares held by Reporting Person's spouse. Reporting Person disclaims beneficial ownership of such shares.
- (7) Limited partnership under control of Reporting Person, and owned in part by irrevocable trusts for the benefit of Reporting Person's children. Reporting Person disclaims beneficial ownership of the children's trusts.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.