

BALL CORP
Form 3
December 22, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Â Fisher Daniel William</p> <p>(Last) (First) (Middle)</p> <p>10 LONGS PEAK DRIVE</p> <p>(Street)</p> <p>BROOMFIELD,Â COÂ 80021</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>12/12/2016</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>BALL CORP [BLL]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner</p> <p><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other</p> <p>(give title below) (specify below)</p> <p>Sr VP & COO Global Bev Pkg</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person</p> <p><input type="checkbox"/> Form filed by More than One Reporting Person</p>
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Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
401K	901.569	I	401(k) Plan ⁽¹⁾
Common Stock	2,728	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (iso) (Right to Buy)	01/25/2013	01/25/2022	Common Stock	875	\$ 37.7	D	Â
Restricted Stock Units	Â (2)	Â (2)	Common Stock	18,284	\$ (2)	D	Â
Stock Appreciation Rights (sars)	01/25/2013	01/25/2022	Common Stock	875	\$ 37.7	D	Â
Stock Appreciation Rights (sars)	01/30/2014	01/30/2023	Common Stock	1,800	\$ 45.93	D	Â
Stock Appreciation Rights (sars)	01/29/2015	01/29/2024	Common Stock	3,500	\$ 49.07	D	Â
Stock Appreciation Rights (sars)	01/27/2017	01/27/2026	Common Stock	9,689	\$ 66.1	D	Â
Stock Appreciation Rights (sars)	02/04/2016	02/04/2025	Common Stock	11,268	\$ 66.15	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Fisher Daniel William 10 LONGS PEAK DRIVE BROOMFIELD,Â COÂ 80021	Â	Â	Â Sr VP & COO Global Bev Pkg	Â

Signatures

/s/ Janice L. Rodriguez, attorney-in-fact for Mr. Fisher
12/22/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.
- (2) Restricted Stock Units awarded under the Stock and Cash Incentive Plan. Each restricted stock unit represents a contingent right to receive one share of Ball Corporation Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.