

BALL CORP  
Form 4/A  
March 21, 2016

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
HOOVER R DAVID

(Last) (First) (Middle)

BALL CORPORATION, 10 LONGS  
PEAK DR.

(Street)

BROOMFIELD, CO 80021-2510

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
BALL CORP [BLL]

3. Date of Earliest Transaction  
(Month/Day/Year)

03/14/2016

4. If Amendment, Date Original Filed(Month/Day/Year)  
03/16/2016

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price   |
| Common Stock                    | 03/14/2016                           |  | M <sup>(1)</sup>               |   | 140,000   | A  | \$ 21.845   |
| Common Stock                    | 03/14/2016                           |  | F <sup>(2)</sup>               |   | 88,800  | D  | \$ 68.77  |
| Common Stock                    | 03/15/2016                           |  | M <sup>(1)</sup>               |   | 92,000  | A  | \$ 21.845   |
| Common Stock                    | 03/15/2016                           |  | F <sup>(2)</sup>               |   | 58,509  | D  | \$ 68.58  |
| Common Stock                    | 03/15/2016                           |  | J <sup>(3)</sup>               |   | 51,200  | D  | \$ 68.77  |

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|              |            |                  |        |   |          |              |   |                          |
|--------------|------------|------------------|--------|---|----------|--------------|---|--------------------------|
| Common Stock | 03/15/2016 | J <sup>(3)</sup> | 51,200 | A | \$ 68.77 | 294,581      | I | RDH Trust                |
| Common Stock | 03/16/2016 | J <sup>(4)</sup> | 33,491 | D | \$ 68.58 | 117,837.9619 | D |                          |
| Common Stock | 03/16/2016 | J <sup>(4)</sup> | 33,491 | A | \$ 68.58 | 328,072      | I | RDH Trust                |
| Common Stock |            |                  |        |   |          | 100,000      | I | By GRAT                  |
| Common Stock |            |                  |        |   |          | 32,605       | I | SAH Trust <sup>(5)</sup> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Beneficially (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|  |  |                                      |  | Code V (A) (D)                 |   | Date Exercisable Expiration Date                         | Title   | Amount or Number of Shares                 |  |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| HOOVER R DAVID<br>BALL CORPORATION<br>10 LONGS PEAK DR.<br>BROOMFIELD, CO 80021-2510 | X             |           |         |       |

## Signatures

/s/ Robert W. McClelland, attorney-in-fact for Mr.  
Hoover

03/21/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Common stock acquired upon the exercise of Stock Appreciation Rights (SARs).
- (2) Shares withheld for the cost of the Stock Appreciation Rights (SARs) and for payment of the tax obligation on the exercise of the Table II SARs.
- (3) Correcting entry. Net shares from the exercise of 140,000 SARs should have been put into the RDH Trust instead of reporting person's direct ownership.
- (4) Correcting entry. Net shares from the exercise of 96,000 SARs should have been put into the RDH Trust instead of reporting person's direct ownership.
- (5) The reporting person expressly disclaims beneficial ownership of the securities in the Suzanne A. Hoover Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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