

SYNEX CORP
Form 4
July 08, 2015

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LAROCQUE PETER

(Last) (First) (Middle)
44201 NOBEL DRIVE
(Street)

FREMONT, CA 94538

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
SYNEX CORP [SNX]

3. Date of Earliest Transaction (Month/Day/Year)
07/06/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
President, N.A. Distribution

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (D) | Price |
| Common Stock | 07/06/2015 | | M | | 3,228 | A | \$ 27.87 |
| Common Stock | 07/06/2015 | | M | | 5,755 | A | \$ 26.98 |
| Common Stock | 07/06/2015 | | M | | 4,819 | A | \$ 32.4 |
| Common Stock | 07/06/2015 | | M | | 3,941 | A | \$ 32.4 |
| Common Stock | 07/06/2015 | | M | | 3,658 | A | \$ 61.83 |
| | | | | | | | 33,021 |
| | | | | | | | 38,776 |
| | | | | | | | 43,595 |
| | | | | | | | 47,536 |
| | | | | | | | 51,194 |

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Common Stock 07/06/2015 S⁽¹⁾ 21,401 D \$ 72.3159 29,793 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock Option (Right to Buy) | \$ 27.87 | 07/06/2015 | | M | 3,228 | ⁽²⁾ 10/07/2020 | Common Stock | 3,228 |
| Stock Option (Right to Buy) | \$ 26.98 | 07/06/2015 | | M | 5,755 | ⁽³⁾ 10/05/2021 | Common Stock | 5,755 |
| Stock Option (Right to Buy) | \$ 32.4 | 07/06/2015 | | M | 4,819 | ⁽⁴⁾ 10/03/2022 | Common Stock | 4,819 |
| Stock Option (Right to Buy) | \$ 32.4 | 07/06/2015 | | M | 3,941 | ⁽⁵⁾ 10/03/2022 | Common Stock | 3,941 |
| Stock Option (Right to Buy) | \$ 61.83 | 07/06/2015 | | M | 3,658 | ⁽⁶⁾ 10/03/2023 | Common Stock | 3,658 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| LAROCQUE PETER 44201 NOBEL DRIVE FREMONT, CA 94538 | | | President, N.A. Distribution | |

Signatures

/s/ Simon Y. Leung,
Attorney-in-Fact

07/08/2015

 **Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the weighted average sales price for a number of transactions effected at prices ranging from \$72 to \$72.9. The reporting person has provided to the issuer, and undertakes to provide upon request to the SEC staff, or any security holder of the issuer, information regarding the number of shares sold at each separate price within the range.
- (2) This stock option vests as to 20% of the 19,623 shares on the first anniversary of the date of grant (October 7, 2010) and vests as to 1/60th of the shares monthly thereafter.
- (3) This stock option vests as to 20% of the 23,020 shares on the first anniversary of the date of grant (October 5, 2011) and vests as to 1/60th of the shares monthly thereafter.
- (4) This stock option vests as to 20% of the 19,273 shares on the first anniversary of the date of grant (October 3, 2012) and vests as to 1/60th of the shares monthly thereafter.
- (5) This stock option vests as to approximately 33% of the 8,115 shares on the first anniversary date of the grant (October 3, 2012) and vests as to 1/36th of the shares monthly thereafter.
- (6) This stock option vests as to 20% of the 10,584 shares on the first anniversary of the date of grant (October 3, 2013) and vests as to 1/60th of the shares monthly thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.