### BAKER CHARLES E

Form 4 May 28, 2013

## FORM 4

### **OMB APPROVAL OMB**

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

3235-0287 Number: January 31, Expires: 2005

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **BAKER CHARLES E** Issuer Symbol BALL CORP [BLL] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction

Director 10% Owner \_ Other (specify

(Month/Day/Year)

\_X\_\_ Officer (give title \_ below) VP,GEN COUNSEL & CORP SEC

BALL CORPORATION, 10 LONGS 05/24/2013 PEAK DR.

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

BROOMFIELD, CO 80021-2510

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securi on(A) or Do (Instr. 3,	(A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/24/2013		M <u>(1)</u>	2,000	A	\$ 44.39	45,110.4113	D	
Common Stock	05/24/2013		M(1)	2,680	A	\$ 44.39	47,790.4113	D	
Common Stock	05/24/2013		M(1)	1,950	A	\$ 44.39	49,740.4113	D	
Common Stock							2,150.741	I	401(k) Plan (2)
Common Stock							400	I	By Daughter

Common 640 I By Son (3)Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(In

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (iso) (Right to Buy)	\$ 21.845	05/24/2013		M <u>(1)</u>		2,000	<u>(4)</u>	04/26/2016	Common Stock	2,000
Stock Option (iso) (Right to Buy)	\$ 24.66	05/24/2013		M <u>(1)</u>		2,680	<u>(4)</u>	04/25/2017	Common Stock	2,680
Stock Option (iso) (Right to Buy)	\$ 20.04	05/24/2013		M(1)		1,950	<u>(4)</u>	01/28/2019	Common Stock	1,950

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

**BAKER CHARLES E BALL CORPORATION** 10 LONGS PEAK DR.

VP,GEN COUNSEL & CORP SEC

2 Reporting Owners

### Edgar Filing: BAKER CHARLES E - Form 4

### BROOMFIELD, CO 80021-2510

### **Signatures**

/s/ Janice L. Rodriguez, attorney-in-fact for Mr.

Baker

05/28/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of Stock Options (ISO).
- (2) Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.
- (3) The reporting person expressly disclaims beneficial ownership of these securities.
- (4) Shares exercisable beginning one year after grant in 25% increments and thereafter annually upon the anniversary of the date of the grant of the stock option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3