Edgar Filing: NEWLINK GENETICS CORP - Form 4/A

NEWLINK GENETICS CORP Form 4/A July 17, 2015							
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue	Washington OF CHANGES IN SECUE Section 16(a) of the Public Utility Hol	BENEFICIAL OV RITIES ne Securities Exchar	WNERSHIP OF nge Act of 1934, of 1935 or Sectio	OMB Number: Expires: Estimated burden hou response	urs per		
(Print or Type Responses)							
1. Name and Address of Reporting Person <u>*</u> Edick Paul R	2. Issuer Name and Symbol NEWLINK GEN [(NLNK)]	C C	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle) C/O NEWLINK GENETICS CORPORATION, 2503 SOUTH LOOP DR., SUITE 5100	3. Date of Earliest T (Month/Day/Year) 05/01/2014	ransaction	X Director Officer (give below)		% Owner her (specify		
(Street) AMES, IA 50010	4. If Amendment, D Filed(Month/Day/Yea 05/05/2014	-	Applicable Line) _X_ Form filed by	X Form filed by One Reporting Person Form filed by More than One Reporting			
(City) (State) (Zip)	Table I - Non-l	Derivative Securities A	cquired, Disposed o	of, or Beneficia	lly Owned		
(Instr. 3) any	med 3.	4. Securities nAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or	5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect		
Reminder: Report on a separate line for each			or indirectly.				

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number or Derivative Securities Acquired (or Dispose (D) (Instr. 3, 4 and 5)	(A) ed of	6. Date Exerc Expiration D (Month/Day/	ate	7. Title and Amount o Underlying Securities (Instr. 3 and 4)		8 D S (1
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 21.23	05/01/2014		A	15,659		<u>(1)</u>	04/30/2024	Common Stock	15,659	
Reporting Owners											
Reporting Owner Name / Address Directo					ationships wner Off	ficer	Other				

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Edick Paul R C/O NEWLINK GENETICS CORPORATION 2503 SOUTH LOOP DR., SUITE 5100 AMES, IA 50010

Signatures

**Signature of Reporting Person

/s/ Ryan Trytten, 07/17/2015 attorney-in-fact

Date

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Χ

Amended to reflect a correction in the computation of the number of options automatically issued under the Issuer's 2010 Non-Employee Directors' Stock Award Plan; the amount previously reported did not reflect the application of the Black-Scholes value of the options. In addition, the number of options reported in the original filing inaccurately referenced 8,331 options. Such number should have been

(1) reported as 8,831 options. Thus the correction results in an increase of 6,828 options. One hundred percent (100%) of the shares subject to the options shall vest on the earlier of (i) the first anniversary of the date of grant and (ii) the date of the first Annual Meeting following the date of grant, in each case subject to the Reporting Person's continuous service as of such date. The option expires ten years after the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.