TEKLA LIFE SCIENCES INVESTORS Form N-PX August 30, 2017

UNITED STATES SECURITIES AND EXCHANGE Expires: March 31, 2018 **COMMISSION**

OMB APPROVAL OMB Number: 3235-0582 Estimated average burden hours per response......7.2

Washington, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number 811-06565

Tekla Life Sciences Investors

(Exact name of registrant as specified in charter)

100 Federal Street, 19th Floor, Boston, MA

02110

(Address of principal executive offices)

(Zip code)

Laura Woodward

Tekla Life Sciences Investors

100 Federal Street, 19th Floor, Boston MA 02110

(Name and address of agent for service)

Registrant s telephone number, including area code: 617-772-8500

Date of fiscal year end: September 30

Date of reporting period: 7/1/16-6/30/17

Form N-PX is to be used by a registered management investment company, other than a small business investment company registered on Form N-5 (ss.ss.239.24 and 274.5 of this chapter), to file reports with the Commission, no later than August 31 of each year, containing the registrant s proxy voting record for the most recent twelve-month period ended June 30, pursuant to section 30 of the Investment Company Act of 1940 and rule 30b1-4 thereunder (17 CFR 270.30b1-4). The Commission may use the information provided on Form N-PX in its regulatory, disclosure review, inspection, and policymaking roles.

A registrant is required to disclose the information specified by Form N-PX, and the Commission will make this information public. A registrant is not required to respond to the collection of information contained in Form N-PX unless the Form displays a currently valid Office of Management and Budget (OMB) control number. Please direct comments concerning the accuracy of the information collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 450 Fifth Street, NW, Washington, DC 20549-0609. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. ss. 3507.

Item 1. Proxy Voting Record.

Vote Summary

ACCELERATE DIAGNOSTICS

Security00430H102Meeting TypeAnnualTicker SymbolAXDXMeeting Date04-May-2017Record Date15-Mar-2017

Item		Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR		Management		
	1	LAWRENCE MEHREN		For	For
	2	MARK MILLER		For	For
	3	JOHN PATIENCE		For	For
	4	JACK SCHULER		For	For
	5	MATTHEW STROBECK, PH.D.		For	For
	6	FRANK J.M. TEN BRINK		For	For
	7	TOM BROWN		For	For
2.	COMPANY REAPPROVE PURPOSES O	E THE THIRD AMENDMENT TO THE S 2012 OMNIBUS EQUITY INCENTIVE PLAN AN E THE MATERIAL TERMS OF THE PLAN FOR DF SECTION 162(M) OF THE INTERNAL ODE OF 1986.	Management ND	For	For
3.	THE INDEPE	THE SELECTION OF ERNST & YOUNG LLP AS ENDENT PUBLIC ACCOUNTANTS OF THE FOR THE FISCAL YEAR ENDING DECEMBER 31	Management	For	For

ACCELERON PHARMA INC.

Security00434H108Meeting TypeAnnualTicker SymbolXLRNMeeting Date01-Jun-2017Record Date04-Apr-2017

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR	Management		
	1 JEAN M. GEORGE		For	For
	2 GEORGE GOLUMBESKI, PH.D		For	For
	3 THOMAS A. MCCOURT		For	For
	4 FRANCOIS NADER, M.D.		For	For
2.	TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION PAID TO THE COMPANY S NAMED EXECUTIVE OFFICERS AS DISCLOSED IN THE PROXY STATEMENT.	Management	For	For
3.	TO RATIFY THE SELECTION OF ERNST & YOUNG LLP AS THE COMPANY S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING	Management	For	For

ADAPTIMMUNE THERAPEUTICS PLC

Security00653A107Meeting TypeAnnualTicker SymbolADAPMeeting Date21-Jun-2017Record Date04-May-2017

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	TO RE-ELECT AS A DIRECTOR, BARBARA DUNCAN, WHO RETIRES IN ACCORDANCE WITH THE ARTICLES OF ASSOCIATION.	Management	For	For
2.	TO RE-ELECT AS A DIRECTOR, GILES KERR, WHO RETIRES IN ACCORDANCE WITH THE ARTICLES OF ASSOCIATION.	Management	For	For
3.	TO RE-ELECT AS A DIRECTOR, TAL ZAKS, WHO RETIRES IN ACCORDANCE WITH THE ARTICLES OF ASSOCIATION.	Management	For	For
4.	TO RE-ELECT AS A DIRECTOR, ALI BEHBAHANI, WHO RETIRES BY ROTATION IN ACCORDANCE WITH THE ARTICLES OF ASSOCIATION.	Management	For	For
5.	TO RE-ELECT AS A DIRECTOR, PETER THOMPSON, WHO RETIRES BY ROTATION IN ACCORDANCE WITH THE ARTICLES OF ASSOCIATION.	Management	For	For
6.	TO RE-APPOINT KPMG LLP AS OUR U.K. STATUTORY AUDITORS UNDER THE(DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).	Management	For	For
7.	TO AUTHORIZE THE AUDIT COMMITTEE TO DETERMINE OUR U.K(DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).	Management	For	For
8.	TO RECEIVE THE U.K. STATUTORY ANNUAL ACCOUNTS AND REPORTS FOR(DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).	Management	For	For
9.	TO RECEIVE AND APPROVE OUR U.K. STATUTORY DIRECTORS REMUNERATION REPORT FOR THE YEAR ENDED DECEMBER 31, 2016.	Management	For	For
10.	TO AUTHORISE THE DIRECTORS UNDER SECTION 551 OF THE U.K. COMPANIES ACT 2006 (THE 2006 ACT) TO ALLOT ORDINARY SHARES OR TO GRANT RIGHTS TO SUBSCRIBE FOR OR TO CONVERT ANY SECURITY INTO ORDINARY SHARES.	Management	For	For
11.	TO AUTHORISE THE DIRECTORS TO ALLOT ORDINARY SHARES OR TO GRANT RIGHTS TO SUBSCRIBE FOR OR CONVERT ANY SECURITY INTO ORDINARY(DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).	Management	For	For

AKORN, INC.

Security009728106Meeting TypeSpecialTicker SymbolAKRXMeeting Date16-Dec-2016

Record Date 28-Oct-2016

		Proposed		For/Against
Item	Proposal	by	Vote	Mgmt
1.	PROPOSAL TO APPROVE THE AKORN, INC. 2016	Management	For	For
	EMPLOYEE STOCK PURCHASE PLAN.			
2.	PROPOSAL TO APPROVE THE AMENDMENT AND	Management	For	For
	RESTATEMENT OF THE AKORN, INC. 2014 STOCK			
	OPTION PLAN.			

AKORN, INC.

Security009728106Meeting TypeAnnualTicker SymbolAKRXMeeting Date27-Apr-2017

Record Date 13-Mar-2017

Item		Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR		Management		
	1	JOHN KAPOOR, PHD		For	For
	2	KENNETH ABRAMOWITZ		For	For
	3	ADRIENNE GRAVES, PHD		For	For
	4	RONALD JOHNSON		For	For
	5	STEVEN MEYER		For	For
	6	TERRY ALLISON RAPPUHN		For	For
	7	BRIAN TAMBI		For	For
	8	ALAN WEINSTEIN		For	For
2.	LLP AS TH	L TO RATIFY THE APPOINTMENT OF BDO USA, E COMPANY S INDEPENDENT REGISTERED CCOUNTING FIRM FOR THE YEAR ENDING R 31, 2017.	Management	For	For
3.		L TO APPROVE THE 2017 OMNIBUS INCENTIVE ATION PLAN.	Management	For	For
4.	ADVISORY NON-BIND	L TO APPROVE, THROUGH A NON- BINDING Y VOTE, THE FREQUENCY OF FUTURE VING ADVISORY VOTES ON THE COMPANY S YE COMPENSATION PROGRAMS.	Management	1 Year	For
5.	ADVISORY COMPENS	L TO APPROVE, THROUGH A NON- BINDING Y VOTE, THE COMPANY S EXECUTIVE ATION PROGRAM AS DESCRIBED IN THE Y S 2017 PROXY STATEMENT.	Management	For	For

AKORN, INC.

009728106 **Meeting Type** Special Security Ticker Symbol **Meeting Date** 19-Jul-2017 AKRX

Record Date 09-Jun-2017

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	TO APPROVE THE AGREEMENT AND PLAN OF MERGER, DATED AS OF APRIL 24, 2017, BY AND AMONG FRESENIUS KABI AG, QUERCUS ACQUISITION, INC., AKORN, INC. AND, SOLELY FOR PURPOSES OF ARTICLE VIII THEREIN, FRESENIUS SE & CO. KGAA.	Management	For	For
2.	TO APPROVE, BY NON-BINDING, ADVISORY VOTE, THE COMPENSATION THAT MAY BE PAID OR MAY BECOME PAYABLE TO AKORN, INC. S NAMED EXECUTIVE OFFICERS IN CONNECTION WITH, OR FOLLOWING, THE CONSUMMATION OF THE MERGER CONTEMPLATED BY THE AGREEMENT AND PLAN OF MERGER.	Management	For	For
3.	TO APPROVE THE ADJOURNMENT OF THE SPECIAL MEETING TO A LATER DATE OR TIME, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IN THE EVENT THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE SPECIAL MEETING OR ANY ADJOURNMENT OR POSTPONEMENT THEREOF TO ADOPT THE AGREEMENT AND PLAN OF MERGER.	Management	For	For

ALEXION PHARMACEUTICALS, INC.

Meeting Type Security 015351109 Annual Ticker Symbol ALXN **Meeting Date** 10-May-2017 **Record Date** 14-Mar-2017

		Proposed		For/Against
Item	Proposal	by	Vote	Mgmt
1A.	ELECTION OF DIRECTOR: FELIX J. BAKER	Management	For	For
1B.	ELECTION OF DIRECTOR: DAVID R. BRENNAN	Management	For	For
1C.	ELECTION OF DIRECTOR: M. MICHELE BURNS	Management	For	For
1D.	ELECTION OF DIRECTOR: CHRISTOPHER J.	Management	For	For
	COUGHLIN			
1E.	ELECTION OF DIRECTOR: LUDWIG N. HANTSON	Management	For	For
1F.	ELECTION OF DIRECTOR: JOHN T. MOLLEN	Management	For	For
1G.	ELECTION OF DIRECTOR: R. DOUGLAS NORBY	Management	For	For
1H.	ELECTION OF DIRECTOR: ALVIN S. PARVEN	Management	For	For
1I.	ELECTION OF DIRECTOR: ANDREAS RUMMELT	Management	For	For
1J.	ELECTION OF DIRECTOR: ANN M. VENEMAN	Management	For	For
2.	TO APPROVE ALEXION S 2017 INCENTIVE PLAN.	Management	For	For

3.	RATIFICATION OF APPOINTMENT BY THE BOARD OF DIRECTORS OF PRICEWATERHOUSECOOPERS LLP AS ALEXION S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management	For	For
4.	APPROVAL OF A NON-BINDING ADVISORY VOTE OF THE 2016 COMPENSATION PAID TO ALEXION S NAMED EXECUTIVE OFFICERS.	Management	For	For
5.	TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION.	Management	1 Year	For
6.	TO REQUEST THE BOARD IMPLEMENT CONFIDENTIAL SHAREHOLDER VOTING ON EXECUTIVE PAY MATTERS.	Shareholder	Against	For

ALLERGAN PLC

SecurityG0177J108Meeting TypeAnnualTicker SymbolAGNMeeting Date04-May-2017Record Date08-Mar-2017

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1A.	ELECTION OF DIRECTOR: NESLI BASGOZ, M.D.	Management	For	For
1B.	ELECTION OF DIRECTOR: PAUL M. BISARO	Management	For	For
1C.	ELECTION OF DIRECTOR: JAMES H. BLOEM	Management	For	For
1D.	ELECTION OF DIRECTOR: CHRISTOPHER W. BODINE	Management	For	For
1E.	ELECTION OF DIRECTOR: ADRIANE M. BROWN	Management	For	For
1F.	ELECTION OF DIRECTOR: CHRISTOPHER J. COUGHLIN	Management	For	For
1G.	ELECTION OF DIRECTOR: CATHERINE M. KLEMA	Management	For	For
1H.	ELECTION OF DIRECTOR: PETER J. MCDONNELL, M.D.	Management	For	For
1I.	ELECTION OF DIRECTOR: PATRICK J. O SULLIVAN	Management	For	For
1J.	ELECTION OF DIRECTOR: BRENTON L. SAUNDERS	Management	For	For
1K.	ELECTION OF DIRECTOR: RONALD R. TAYLOR	Management	For	For
1L.	ELECTION OF DIRECTOR: FRED G. WEISS	Management	For	For
2.	TO APPROVE, IN A NON-BINDING VOTE, NAMED EXECUTIVE OFFICER COMPENSATION.	Management	For	For
3.	TO RECOMMEND, IN A NON-BINDING VOTE, WHETHER A SHAREHOLDER VOTE TO APPROVE THE COMPENSATION OF THE COMPANY S NAMED EXECUTIVE OFFICERS SHOULD OCCUR EVERY ONE, TWO OR THREE YEARS.	Management	1 Year	For
4.	TO RATIFY, IN A NON-BINDING VOTE, THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY S INDEPENDENT AUDITOR FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017 AND TO AUTHORIZE, IN A BINDING VOTE, THE BOARD OF DIRECTORS, ACTING THROUGH ITS AUDIT AND COMPLIANCE COMMITTEE, TO DETERMINE PRICEWATERHOUSECOOPERS LLP S REMUNERATION.	Management	For	For
5.	TO APPROVE THE MATERIAL TERMS OF THE PERFORMANCE GOALS FOR THE PURPOSES OF SECTION 162(M) UNDER THE ALLERGAN PLC 2017 ANNUAL INCENTIVE COMPENSATION PLAN.	Management	For	For
6.	TO CONSIDER A SHAREHOLDER PROPOSAL REGARDING AN INDEPENDENT BOARD CHAIRMAN, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder	Against	For

ALLIQUA BIOMEDICAL, INC.

Security 019621200 Meeting Type Annual

Meeting Date 23-Jun-2017

ALQA 28-Apr-2017 Ticker Symbol Record Date

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR	Management		
	1 DAVID JOHNSON		For	For
	2 WINSTON KUNG		For	For
	3 JOSEPH LEONE		For	For
	4 GARY RESTANI		For	For
	5 JEFFREY SKLAR		For	For
	6 MARK WAGNER		For	For
	7 JEROME ZELDIS, MD, PHD.		For	For
2.	APPROVAL TO AUTHORIZE THE BOARD OF DIRECTORS OF THE COMPANY TO AMEND THE COMPANY S CERTIFICATE OF INCORPORATION IN ITS DISCRETION BUT PRIOR TO THE ANNUAL MEETING OF THE COMPANY S STOCKHOLDERS IN 2018, TO EFFECT A REVERSE STOCK SPLIT OF THE COMPANY S COMMON STOCK, AT A RATIO IN THE RANGE OF 1-FOR-3 TO 1-FOR-13.	Е	For	For
3.	APPROVAL OF AN AMENDMENT TO THE COMPANY S 2014 LONG-TERM INCENTIVE PLAN, TO INCREASE THE AGGREGATE NUMBER OF SHARES OF COMMON STOCK RESERVED FOR ISSUANCE UNDER THE 2014 PLAN BY AN ADDITIONAL 4,000,000 SHARES, TO A TOTAL OF 9,500,000 SHARES.	4 Management	For	For
4.	TO APPROVE THE ISSUANCE OF MORE THAN 19.99% OF THE COMPANY S OUTSTANDING COMMON STOCK AT A PRICE PER SHARE LESS THAN BOOK OR MARKET VALUE TO INVESTORS WHO PARTICIPATED IN A PRIVATE PLACEMENT WHICH CLOSED ON FEBRUARY 27, 2017 PURSUANT TO THE MFN ADJUSTMENT.	Management	For	For
5.	TO APPROVE THE ISSUANCE OF SHARES OF THE COMPANY S COMMON STOCK TO JEROME ZELDIS, M.D., PH.D., THE CHAIRMAN OF THE COMPANY S BOARD OF DIRECTORS, PURSUANT TO THE MFN ADJUSTMENT AT A PER SHARE PURCHASE PRICE LESS THAN THE CLOSING BID PRICE OF THE COMMON STOCK ON FEBRUARY 27, 2017.	Management	For	For
6.	TO APPROVE THE ISSUANCE OF SHARES OF THE COMPANY S COMMON STOCK(DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL).	Management	For	For
7.	RATIFICATION OF THE APPOINTMENT OF MARCUM LLP AS OUR(DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL).	Management Γ	For	For
8.	AN ADVISORY VOTE ON EXECUTIVE COMPENSATION AS DISCLOSED IN THESE MATERIALS.	Management	For	For

ALNYLAM PHARMACEUTICALS, INC.

02043Q107 **Meeting Type** Security Annual Ticker Symbol **Meeting Date** 02-May-2017 ALNY

Record Date 10-Mar-2017

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1A.	ELECTION OF DIRECTOR: MICHAEL W. BONNEY	Management	For	For
1B.	ELECTION OF DIRECTOR: JOHN M. MARAGANORE, PH.D.	Management	For	For
1C.	ELECTION OF DIRECTOR: PAUL R. SCHIMMEL, PH.D.	Management	For	For
1D.	ELECTION OF DIRECTOR: PHILLIP A. SHARP, PH.D.	Management	For	For
2.	TO APPROVE THE SECOND AMENDED AND RESTATED 2009 STOCK INCENTIVE PLAN.	Management	For	For
3.	TO APPROVE THE AMENDED AND RESTATED 2004 EMPLOYEE STOCK PURCHASE PLAN.	Management	For	For
4.	TO APPROVE, IN A NON-BINDING ADVISORY VOTE, THE COMPENSATION OF ALNYLAM S NAMED EXECUTIVE OFFICERS.	Management	For	For
5.	TO RECOMMEND, IN A NON-BINDING ADVISORY VOTE, THE FREQUENCY OF ADVISORY STOCKHOLDER VOTES ON EXECUTIVE COMPENSATION.	Management	1 Year	For
6.	TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP, AN INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM, AS ALNYLAM S INDEPENDENT AUDITORS FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For

AMGEN INC.

031162100 **Meeting Type** Security Annual Ticker Symbol AMGN **Meeting Date** 19-May-2017 **Record Date** 20-Mar-2017

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1A.	ELECTION OF DIRECTOR: DR. DAVID BALTIMORE	Management	For	For
1B.	ELECTION OF DIRECTOR: MR. ROBERT A. BRADWAY	Management	For	For
1C.	ELECTION OF DIRECTOR: MR. FRANCOIS DE CARBONNEL	Management	For	For
1D.	ELECTION OF DIRECTOR: MR. ROBERT A. ECKERT	Management	For	For
1E.	ELECTION OF DIRECTOR: MR. GREG C. GARLAND	Management	For	For
1F.	ELECTION OF DIRECTOR: MR. FRED HASSAN	Management	For	For
1G.	ELECTION OF DIRECTOR: DR. REBECCA M. HENDERSON	Management	For	For

1H.	ELECTION OF DIRECTOR: MR. FRANK C. HERRINGER	Management	For	For
1I.	ELECTION OF DIRECTOR: MR. CHARLES M. HOLLEY, JR.	Management	For	For
1J.	ELECTION OF DIRECTOR: DR. TYLER JACKS	Management	For	For
1K.	ELECTION OF DIRECTOR: MS. ELLEN J. KULLMAN	Management	For	For
1L.	ELECTION OF DIRECTOR: DR. RONALD D. SUGAR	Management	For	For
1M.	ELECTION OF DIRECTOR: DR. R. SANDERS WILLIAMS	Management	For	For
2.	TO RATIFY THE SELECTION OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTANTS FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	ADVISORY VOTE TO APPROVE OUR EXECUTIVE COMPENSATION.	Management	For	For
4.	ADVISORY VOTE ON THE FREQUENCY OF FUTURE STOCKHOLDER VOTES TO APPROVE EXECUTIVE COMPENSATION.	Management	1 Year	For
5.	STOCKHOLDER PROPOSAL TO ADOPT MAJORITY VOTES CAST STANDARD FOR MATTERS PRESENTED BY STOCKHOLDERS.	Shareholder	Against	For

AMICUS THERAPEUTICS, INC.

Security03152W109Meeting TypeAnnualTicker SymbolFOLDMeeting Date13-Jun-2017Record Date17-Apr-2017

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR	Management		
	1 TED W. LOVE, M.D.		For	For
	2 ROBERT ESSNER		For	For
2.	RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS THE COMPANY S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017	Management	For	For
3.	APPROVE, ON AN ADVISORY BASIS, THE COMPANY S EXECUTIVE COMPENSATION	Management	For	For
4.	APPROVE, ON AN ADVISORY BASIS, THE FREQUENCY OF THE VOTE ON EXECUTIVE COMPENSATION	Management	1 Year	For

ARCA BIOPHARMA, INC.

Security00211Y407Meeting TypeAnnualTicker SymbolABIOMeeting Date01-Jun-2017Record Date10-Apr-2017

			Proposed		For/Against
Item		Proposal	by	Vote	Mgmt
1.	DIRECTOR		Management		
	1	DR. MICHAEL R. BRISTOW		For	For
	2	ROBERT E. CONWAY		For	For
2.	COMPANY S IN	E SELECTION OF KPMG LLP AS THE NDEPENDENT REGISTERED PUBLIC FIRM FOR THE FISCAL YEAR ENDING 2017.	Management	For	For

ARDELYX, INC

Security039697107Meeting TypeAnnualTicker SymbolARDXMeeting Date07-Jun-2017Record Date12-Apr-2017

Item		Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR	•	Management		Ü
	1	ROBERT BAZEMORE	-	For	For

3 RICHARD RODGERS 2. TO RATIFY THE SELECTION, BY THE AUDIT COMMITTEE Management OF OUR BOARD OF DIRECTORS, OF ERNST & YOUNG, LLP	For For	For For
	For	For
AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF THE COMPANY FOR THE FISCAL YEAR ENDED DECEMBER 31, 2017.		

AURIS MEDICAL HOLDING AG

SecurityH03579101Meeting TypeAnnualTicker SymbolEARSMeeting Date13-Apr-2017

Record Date 10-Mar-2017

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	APPROVAL OF THE ANNUAL REPORT, THE FINANCIAL STATEMENTS AND THE GROUP CONSOLIDATED FINANCIAL STATEMENTS FOR THE 2016 FINANCIAL YEAR	Management	For	For
2.	DISCHARGE OF LIABILITY FOR THE MEMBERS OF THE BOARD OF DIRECTORS AND THE PERSONS ENTRUSTED WITH THE CORPORATION S MANAGEMENT	Management	For	For
3.	APPROPRIATION OF FINANCIAL RESULTS	Management	For	For
4.1	INCREASE OF THE AUTHORIZED SHARE CAPITAL	Management	For	For
4.2	INCREASE OF THE CONDITIONAL SHARE CAPITAL FOR FINANCING PURPOSES	Management	For	For
4.3	INCREASE OF THE CONDITIONAL SHARE CAPITAL FOR EQUITY INCENTIVE PLANS	Management	Against	Against
5.1	APPROVAL OF THE COMPENSATION OF THE BOARD OF DIRECTORS	Management	For	For
5.2	APPROVAL OF THE COMPENSATION OF THE MEMBERS OF THE EXECUTIVE MANAGEMENT COMMITTEE FOR THE 2018 FINANCIAL YEAR	Management	For	For
6.1	RE-ELECTION OF THOMAS MEYER AS MEMBER AND AS CHAIRMAN OF THE BOARD OF DIRECTORS	Management	For	For
6.2	RE-ELECTION OF ARMANDO ANIDO AS MEMBER OF THE BOARD OF DIRECTORS	Management	For	For
6.3	RE-ELECTION OF OLIVER KUBLI AS MEMBER OF THE BOARD OF DIRECTORS	Management	For	For
6.4	RE-ELECTION OF BERNDT A. MODIG AS MEMBER OF THE BOARD OF DIRECTORS	Management	For	For
6.5	RE-ELECTION OF ANTOINE PAPIERNIK-BERKHAUER AS MEMBER OF THE BOARD OF DIRECTORS	Management	For	For
6.6	RE-ELECTION OF CALVIN W. ROBERTS AS MEMBER OF THE BOARD OF DIRECTORS	Management	For	For
6.7	ELECTION OF MATS PETER BLOM AS MEMBER OF THE BOARD OF DIRECTORS	Management	For	For
7.1	RE-ELECTION OF ARMANDO ANIDO AS MEMBER OF THE COMPENSATION COMMITTEE	Management	For	For
7.2	RE-ELECTION OF CALVIN W. ROBERTS AS MEMBER OF THE COMPENSATION COMMITTEE	Management	For	For
8.	RE-ELECTION OF DELOITTE AG AS AUDITORS	Management	For	For
9.	RE-ELECTION OF THE INDEPENDENT PROXY	Management	For	For
10.	GENERAL INSTRUCTION ON NEW PROPOSALS OF THE BOARD OF DIRECTORS	Management	For	For

AVADEL PHARMACEUTICALS PLC

Security Ticker Symbol Record Date Meeting Type Meeting Date 05337M104 Annual AVDL28-Jun-2017

19-May-2017

		Proposed		For/Against
Item	Proposal	by	Vote	Mgmt
1A.	ELECTION OF DIRECTOR: CRAIG R. STAPLETON	Management	For	For
1B.	ELECTION OF DIRECTOR: MICHAEL S. ANDERSON	Management	For	For
1C.	ELECTION OF DIRECTOR: FRANCIS J.T. FILDES	Management	For	For
1D.	ELECTION OF DIRECTOR: CHRISTOPHE NAVARRE	Management	For	For
1E.	ELECTION OF DIRECTOR: BENOIT VAN ASSCHE	Management	For	For
1F.	ELECTION OF DIRECTOR: PETER THORNTON	Management	For	For
2.	TO RATIFY, IN A NON-BINDING VOTE, THE	Management	For	For
	APPOINTMENT OF DELOITTE & TOUCHE LLP AS			
	THE COMPANY S INDEPENDENT REGISTERED			
	PUBLIC AUDITOR AND ACCOUNTING FIRM FOR			
	THE FISCAL YEAR ENDING DECEMBER 31, 2017			
	AND TO AUTHORIZE, IN A BINDING VOTE, THE			
	AUDIT COMMITTEE OF THE BOARD TO SET THE			
	INDEPENDENT REGISTERED PUBLIC AUDITOR			
	AND ACCOUNTING FIRM REMUNERATION			
3.	TO APPROVE THE AVADEL PHARMACEUTICALS	Management	For	For
	PLC 2017 OMNIBUS INCENTIVE COMPENSATION	· ·		
	PLAN.			
4.	TO APPROVE THE AVADEL PHARMACEUTICALS	Management	For	For
	PLC 2017 EMPLOYEE SHARE PURCHASE PLAN.	Ü		

BELLICUM PHARMACEUTICALS INC

Security079481107Meeting TypeAnnualTicker SymbolBLCMMeeting Date14-Jun-2017Record Date17-Apr-2017

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR	Management		
	1 RICHARD A. FAIR		For	For
	2 REID M. HUBER, PH.D.		For	For
	3 JAMES M. DALY		For	For
2.	APPROVAL OF THE COMPANY S 2014 EQUITY INCENTIVE PLAN (THE PLAN),AS AMENDED, TO, AMONG OTHER THINGS, INCREASE THE SHARES OF COMMON STOCK AUTHORIZED FOR ISSUANCE UNDER THE PLAN BY 3,100,000 SHARES AND ELIMINATE THE CURRENT PROVISION IN THE PLAN THAT PERMITS THE BOARD TO REPRICE STOCK OPTIONS WITHOUT STOCKHOLDER APPROVAL.	Management	For	For
3.	RATIFICATION OF SELECTION OF ERNST & YOUNG LLP AS INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF THE COMPANY FOR ITS FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For

BIOCLIN THERAPEUTICS, INC.

SecurityN/AMeeting TypeWritten ConsentTicker SymbolN/AMeeting Date05-Oct-2016Record DateN/A

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	DETERMINATION REGARDING SECOND TRANCHE MILESTONE CLOSING OF PREFERRED STOCK FINANCING	Management	For	For
2.	NOTICE OF INTERESTED PARTY TRANSACTIONS	Management	For	For
3.	GENERAL AUTHORIZING RESOLUTION	Management	For	For

BIOCLIN THERAPEUTICS, INC.

Security	N/A	Meeting Type	Written Consent
Ticker Symbol	N/A	Meeting Date	02-Mar-2017
Record Date	N/A		

		Proposed		For/Against
Item	Proposal	by	Vote	Mgmt
1.	ELECTION OF DIRECTORS	Management	For	For
2.	GENERAL AUTHORIZING RESOLUTION	Management	For	For

BIOCLIN THERAPEUTICS, INC.

SecurityN/AMeeting TypeWritten ConsentTicker SymbolN/AMeeting Date02-Mar-2017Record DateN/A

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	AMENDMENT AND RESTATEMENT OF RESTATED CERTIFICATE OF INCORPORATION	Management	For	For
2.	SERIES B PREFERRED STOCK FINANCING	Management	For	For
3.	WAIVER OF PREEMPTIVE RIGHTS	Management	For	For
4.	NOTICE OF INTERESTED PARTY TRANSACTIONS	Management	For	For
5.	APPROVAL OF INDEMNIFCATION AGREEMENTS	Management	For	For
6.	AMENDMENT OF 2013 STOCK AND OPTION GRANT PLAN	Management	For	For
7.	GENERAL AUTHORIZING RESOLUTION	Management	For	For

BIOGEN INC.

Security09062X103Meeting TypeAnnualTicker SymbolBIIBMeeting Date07-Jun-2017

Record Date 10-Apr-2017

		Proposed		For/Against
Item	Proposal	by	Vote	Mgmt
1A.	ELECTION OF DIRECTOR: ALEXANDER J. DENNER	Management	For	For
1B.	ELECTION OF DIRECTOR: CAROLINE D. DORSA	Management	For	For
1C.	ELECTION OF DIRECTOR: NANCY L. LEAMING	Management	For	For
1D.	ELECTION OF DIRECTOR: RICHARD C. MULLIGAN	Management	For	For
1E.	ELECTION OF DIRECTOR: ROBERT W. PANGIA	Management	For	For
1F.	ELECTION OF DIRECTOR: STELIOS	Management	For	For
	PAPADOPOULOS			
1G.	ELECTION OF DIRECTOR: BRIAN S. POSNER	Management	For	For
1H.	ELECTION OF DIRECTOR: ERIC K. ROWINSKY	Management	For	For
1I.	ELECTION OF DIRECTOR: LYNN SCHENK	Management	For	For
1J.	ELECTION OF DIRECTOR: STEPHEN A. SHERWIN	Management	For	For
1K.	ELECTION OF DIRECTOR: MICHEL VOUNATSOS	Management	For	For
2.	TO RATIFY THE SELECTION OF	Management	For	For
	PRICEWATERHOUSECOOPERS LLP AS BIOGEN	C		
	INC. S INDEPENDENT REGISTERED PUBLIC			
	ACCOUNTING FIRM FOR THE FISCAL YEAR			
	ENDING DECEMBER 31, 2017.			
3.	SAY ON PAY - TO APPROVE AN ADVISORY VOTE	Management	For	For
	ON EXECUTIVE COMPENSATION.			
4.	SAY WHEN ON PAY - TO APPROVE AN ADVISORY	Management	1 Year	For
	VOTE ON THE FREQUENCY OF THE ADVISORY			
	VOTE ON EXECUTIVE COMPENSATION.			
5.	TO APPROVE THE BIOGEN INC. 2017 OMNIBUS	Management	For	For
	EQUITY PLAN.	gement	101	1 01
	EQUIT I ELL.			

BIOMARIN PHARMACEUTICAL INC.

Security09061G101Meeting TypeAnnualTicker SymbolBMRNMeeting Date06-Jun-2017Record Date10-Apr-2017

Item		Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR		Management		
	1	JEAN-JACQUES BIENAIME		For	For
	2	WILLARD DERE		For	For
	3	MICHAEL GREY		For	For
	4	ELAINE J. HERON		For	For
	5	V. BRYAN LAWLIS		For	For
	6	ALAN J. LEWIS		For	For
	7	RICHARD A. MEIER		For	For
	8	DAVID PYOTT		For	For
	9	DENNIS J. SLAMON		For	For

2.	TO RATIFY THE SELECTION OF KPMG LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR BIOMARIN FOR THE FISCAL YEAR ENDING	Management	For	For
3.	DECEMBER 31, 2017. TO APPROVE, ON AN ADVISORY BASIS, THE FREQUENCY OF THE STOCKHOLDERS APPROVAL, ON AN ADVISORY BASIS, OF THE COMPENSATION OF THE COMPANY S NAMED EXECUTIVE OFFICERS AS DISCLOSED IN THE PROXY STATEMENT.	Management	1 Year	For
4.	TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION OF THE COMPANY S NAMED EXECUTIVE OFFICERS AS DISCLOSED IN THE PROXY STATEMENT.	Management	For	For
5.	TO APPROVE THE 2017 EQUITY INCENTIVE PLAN.	Management	For	For
6.	TO APPROVE AMENDMENTS TO BIOMARIN S AMENDED AND RESTATED CERTIFICATE OF INCORPORATION, AS AMENDED, TO (I) INCREASE THE TOTAL NUMBER OF AUTHORIZED SHARES OF COMMON STOCK FROM 250,000,000 SHARES TO 500,000,000 SHARES, AND (II) MAKE CERTAIN MINOR ADMINISTRATIVE CHANGES.	Management	For	For

BLUEBIRD BIO INC.

Security09609G100Meeting TypeAnnualTicker SymbolBLUEMeeting Date08-Jun-2017

Record Date 13-Apr-2017

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1A.	ELECTION OF CLASS I DIRECTOR: NICK LESCHLY	Management	For	For
1B.	ELECTION OF CLASS I DIRECTOR: MARK VACHON	Management	For	For
2.	TO HOLD AN ADVISORY VOTE ON EXECUTIVE COMPENSATION PAID TO THE COMPANY S NAMED EXECUTIVE OFFICERS.	Management	For	For
3.	TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS THE COMPANY S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For

CARDIOKINETIX INC.

SecurityN/AMeeting TypeWritten ConsentTicker SymbolN/AMeeting Date20-Jun-2017Record DateN/A

		Proposed		For/Against
Item	Proposal	by	Vote	Mgmt
1.	NOTE FINANCING APPROVAL	Management	For	For
2.	WAIVER OF RIGHT OF FIRST OFFER	Management	For	For
3.	WAIVER OF ANTI-DILUTION ADJUSTMENTS	Management	For	For
4.	GENERAL AUTHROIZING RESOLUTIONS	Management	For	For

CARDIOKINETIX INC.

SecurityN/AMeeting TypeWritten ConsentTicker SymbolN/AMeeting Date21-Jun-2017

Record Date N/A

		Proposed		For/Against
Item	Proposal	by	Vote	Mgmt
1.	CONSENT TO ASSIGNMENT BY THE	Management	For	For
	STOCKHOLDERS			

CELGENE CORPORATION

Security151020104Meeting TypeAnnualTicker SymbolCELGMeeting Date14-Jun-2017Record Date20-Apr-2017

Item		Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR	11000341	Management	Voic	Wighit
	1	ROBERT J. HUGIN	U	For	For
	2	MARK J. ALLES		For	For
	3	RICHARD W BARKER D PHIL		For	For
	4	MICHAEL W. BONNEY		For	For
	5	MICHAEL D. CASEY		For	For
	6	CARRIE S. COX		For	For
	7	MICHAEL A. FRIEDMAN, MD		For	For
	8	JULIA A. HALLER, M.D.		For	For
	9	GILLA S. KAPLAN, PH.D.		For	For
	10	JAMES J. LOUGHLIN		For	For
	11	ERNEST MARIO, PH.D.		For	For
2.	THE COMPAN	N OF THE APPOINTMENT OF KPMG LLP AS Y S INDEPENDENT REGISTERED PUBLIC FIRM FOR THE FISCAL YEAR ENDING 1, 2017.	Management	For	For
3.		F AN AMENDMENT AND RESTATEMENT OF Y S STOCK INCENTIVE PLAN.	Management	For	For
4.	,	Y NON-BINDING VOTE, OF EXECUTIVE ON OF THE COMPANY S NAMED OFFICERS.	Management	For	For
5.		END, BY NON-BINDING VOTE, THE OF EXECUTIVE COMPENSATION VOTES.	Management	1 Year	For
6.	PROVISION LI TALLIES PRIO RESPECT TO C	ER PROPOSAL TO REQUEST A BY-LAW MITING MANAGEMENT S ACCESS TO VOTE OR TO THE ANNUAL MEETING WITH CERTAIN EXECUTIVE PAY MATTERS, N MORE DETAIL IN THE PROXY	Shareholder	Against	For

CELLECTIS S.A.

Security15117K103Meeting TypeAnnualTicker SymbolCLLSMeeting Date26-Jun-2017Record Date12-Jun-2017

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	APPROVAL OF THE FINANCIAL STATEMENTS FOR THE FINANCIAL YEAR ENDED DECEMBER 31, 2016	Management	For	For
2.	APPROVAL OF THE CONSOLIDATED FINANCIAL STATEMENTS FOR THE FINANCIAL YEAR ENDED DECEMBER 31, 2016	Management	For	For
3.	ALLOCATION OF INCOME FOR THE FINANCIAL YEAR ENDED DECEMBER 31, 2016	Management	For	For
4.	APPROVAL OF THE AGREEMENTS REFERRED TO IN ARTICLES L. 225-38 AND FOLLOWING OF THE FRENCH COMMERCIAL CODE	Management	For	For
5.	RENEWAL OF THE TERM OF OFFICE OF DIRECTOR OF MR LAURENT ARTHAUD, INDEPENDENT DIRECTOR ACCORDING TO THE RULES OF SEC AND NASDAQ STOCK MARKET	Management	For	For
6.	RENEWAL OF THE TERM OF OFFICE OF DIRECTOR OF MRS ANNICK SCHWEBIG, INDEPENDENT DIRECTOR ACCORDING TO THE RULES OF SEC AND NASDAQ STOCK MARKET	Management	For	For
7.	RENEWAL OF THE TERM OF OFFICE OF DIRECTOR OF MR PIERRE BASTID INDEPENDENT DIRECTOR ACCORDING TO THE RULES OF SEC AND NASDAQ STOCK MARKET	Management	For	For
8.	APPOINTMENT OF A NEW DIRECTOR (MR RAINER BOEHM), INDEPENDENT DIRECTOR ACCORDING TO THE RULES OF SEC AND NASDAQ STOCK MARKET	Management	For	For
9.	APPOINTMENT OF A NEW DIRECTOR (MR HERVE HOPPENOT), INDEPENDENT DIRECTOR ACCORDING TO THE RULES OF SEC AND NASDAQ STOCK MARKET	Management	For	For
10.	APPROVAL OF 2016 STOCK OPTION PLAN AND PAYMENT FOR THE STOCK OPTIONS OR STOCK PURCHASE PLAN ADOPTED BY THE BOARD OF DIRECTORS ON OCTOBER 28, 2016	Management	For	For
11.	AUTHORIZATION TO BE GIVEN TO THE BOARD OF DIRECTORS TO BUY BACK COMPANY SHARES	Management	For	For
12.	AUTHORIZATION TO BE GIVEN TO THE BOARD OF DIRECTORS FOR THE PURPOSE OF REDUCING THE SHARE CAPITAL THROUGH THE CANCELLATION OF SHARES IN THE CONTEXT OF THE AUTHORIZATION TO BUY BACK ITS OWN SHARES	Management	For	For
13.	DELEGATION OF AUTHORITY TO BE GRANTED TO THE BOARD OF DIRECTORS FOR THE PURPOSE OF INCREASING THE SHARE CAPITAL BY ISSUING ORDINARY SHARES AND/OR ANY SECURITIES GIVING ACCESS IMMEDIATELY OR IN THE FUTURE TO THE SHARE CAPITAL AND/OR GIVING	Management	For	For

	ENTITLEMENT TO THE ALLOTMENT OF DEBT SECURITIES, WITH A WAIVER OF THE PREFERENTIAL SUBSCRIPTION RIGHTS, IN FAVOR OF A CATEGORY OF PERSONS MEETING SPECIFIED CHARACTERISTICS			
14.	DELEGATION OF AUTHORITY TO BE GRANTED TO THE BOARD OF DIRECTORS FOR THE PURPOSE OF INCREASING THE SHARE CAPITAL THROUGH THE ISSUANCE OF ORDINARY SHARES OR OF ANY SECURITIES WITH A WAIVER OF THE PREFERENTIAL SUBSCRIPTION RIGHTS, IN FAVOR OF A CATEGORY OF PERSONS ENSURING THE UNDERWRITING OF THE COMPANY SEQUITY SECURITIES THAT MAY ARISE AS PART OF AN EQUITY LINE FINANCING	Management	For	For
15.	DELEGATION OF AUTHORITY TO BE GRANTED TO THE BOARD OF DIRECTORS FOR THE PURPOSE OF INCREASING THE SHARE CAPITAL IMMEDIATELY OR IN THE FUTURE BY ISSUING ORDINARY SHARES OR ANY SECURITIES GIVING ACCESS IMMEDIATELY OR IN THE FUTURE TO THE SHARE CAPITAL OR GIVING ENTITLEMENT TO THE ALLOTMENT OF DEBT SECURITIES, WHILE MAINTAINING THE PREFERENTIAL SUBSCRIPTION RIGHTS	Management	For	For
16.	DELEGATION OF AUTHORITY TO BE GRANTED TO THE BOARD OF DIRECTORS FOR THE PURPOSE OF INCREASING THE SHARE CAPITAL IMMEDIATELY OR IN THE FUTURE THROUGH THE ISSUANCE OF ORDINARY SHARES, SECURITIES GIVING ACCESS TO THE CAPITAL OR ENTITLEMENT TO THE ALLOTMENT OF DEBT SECURITIES AND/OR SECURITIES GIVING ACCESS IMMEDIATELY OR IN THE FUTURE TO THE SHARE CAPITAL, WITH WAIVER OF THE PREFERENTIAL SUBSCRIPTION RIGHTS THROUGH A PUBLIC OFFERING	Management	For	For
17.	DELEGATION OF AUTHORITY TO BE GRANTED TO THE BOARD OF DIRECTORS FOR THE PURPOSE OF INCREASING THE SHARE CAPITAL THROUGH THE ISSUANCE OF ORDINARY SHARES AND/OR OF ANY SECURITIES GIVING ACCESS IMMEDIATELY OR IN THE FUTURE TO THE SHARE CAPITAL OR GIVING ENTITLEMENT TO THE ALLOTMENT OF DEBT SECURITIES, WITH WAIVER OF THE PREFERENTIAL SUBSCRIPTION RIGHTS OF THE SHAREHOLDERS, THROUGH AN OFFER TO QUALIFIED INVESTORS OR A LIMITED CIRCLE OF INVESTORS WITHIN THE MEANING OF PARAGRAPH II OF ARTICLE L. 411-2 OF THE FRENCH MONETARY AND FINANCIAL CODE	Management	For	For
18.	DELEGATION GRANTED TO THE BOARD OF DIRECTORS TO INCREASE THE NUMBER OF SECURITIES TO BE ISSUED IN CASE OF SHARE CAPITAL INCREASE WITH OR WITHOUT PREFERENTIAL SUBSCRIPTION RIGHTS	Management	For	For
19.	OVERALL LIMITATIONS TO THE AMOUNT OF ISSUANCES MADE UNDER THE THIRTEENTH RESOLUTION, THE FOURTEENTH RESOLUTION, THE FIFTEENTH RESOLUTION, THE SIXTEENTH RESOLUTION, THE SEVENTEENTH RESOLUTION, AND THE EIGHTEENTH RESOLUTION ABOVE	Management	For	For
20.		Management	For	For

	DELEGATION OF AUTHORITY TO BE GRANTED TO THE BOARD OF DIRECTORS FOR THE PURPOSE OF INCREASING THE SHARE CAPITAL BY INCORPORATION OF PREMIUMS, RESERVES, PROFITS OR OTHERS			
21.	AUTHORIZATION TO BE GIVEN TO THE BOARD OF DIRECTORS TO GRANT OPTIONS TO SUBSCRIBE OR PURCHASE COMPANY S SHARES	Management	For	For
22.	AUTHORIZATION BE GIVEN TO THE BOARD OF DIRECTORS FOR THE ALLOCATION OF FREE SHARES EXISTING AND/OR TO BE ISSUED IN THE FUTURE	Management	For	For
23.	DELEGATION OF AUTHORITY TO BE GRANTED TO THE BOARD OF DIRECTORS TO ISSUE WARRANTS GIVING THE RIGHT TO SUBSCRIBE ORDINARY SHARES OF THE COMPANY - CANCELLATION OF THE PREFERENTIAL RIGHT OF SUBSCRIPTION TO THE BENEFIT OF A CATEGORY OF PERSONS MEETING SPECIFIC CHARACTERISTICS	Management	For	For
24.	DELEGATION OF AUTHORITY TO BE GRANTED TO THE BOARD OF DIRECTORS FOR THE PURPOSE OF ISSUING WARRANTS TO SUBSCRIBE TO AND/OR ACQUIRE REDEEMABLE SHARES (BSAAR) OR SHARE SUBSCRIPTION WARRANTS - WITH A WAIVER OF THE PREFERENTIAL SUBSCRIPTION RIGHTS IN FAVOR OF THE FOLLOWING CATEGORY OF BENEFICIARIES: EMPLOYEES AND CORPORATE OFFICERS OF THE COMPANY AND ITS SUBSIDIARIES	Management	For	For
25.	AUTHORIZATION FOR THE BOARD OF DIRECTORS TO FREELY ALLOCATE PREFERRED SHARES OF THE COMPANY TO THE EMPLOYEES AND/OR THE EXECUTIVE OFFICERS OF THE COMPANY AND ITS SUBSIDIARIES ENTAILING THE WAIVER BY THE SHAREHOLDERS OF THEIR PREFERENTIAL SUBSCRIPTION RIGHTS	Management	For	For
26.	OVERALL LIMITATIONS TO THE AMOUNT OF ISSUES MADE UNDER THE TWENTY-FIRST RESOLUTION, THE TWENTY-SECOND RESOLUTION, THE TWENTY-THIRD RESOLUTION, THE TWENTY-FOURTH RESOLUTION AND THE TWENTY-FIFTH RESOLUTION ABOVE	Management	For	For
27.	AMENDMENTS TO ARTICLES 4 (REGISTERED OFFICE), 15 (AGREEMENTS SUBJECT TO AUTHORIZATION) AND 17 (STATUTORY AUDITORS) OF THE BYLAWS TO COMPLY WITH APPLICABLE LAWS	Management	For	For
28.	REMOVAL OF THE LIST OF IMPORTANT DECISIONS IN ARTICLE 12.3. OF THE BYLAWS	Management	For	For
29.	DELEGATION TO BE GRANTED TO THE BOARD OF DIRECTORS FOR THE PURPOSE OF AN INCREASE IN THE SHARE CAPITAL WHOSE SUBSCRIPTION WOULD BE RESERVED TO MEMBERS OF A COMPANY SAVINGS PLAN ESTABLISHED PURSUANT TO ARTICLES L. 3332-1 AND FOLLOWING OF THE FRENCH LABOR CODE	Management	For	

CEPHEID

Security15670R107Meeting TypeSpecialTicker SymbolCPHDMeeting Date04-Nov-2016

Record Date 03-Oct-2016

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1	THE PROPOSAL TO APPROVE THE AGREEMENT AND PLAN OF MERGER, DATED AS OF SEPTEMBER 2, 2016, AS MAY BE AMENDED FROM TIME TO TIME, BY AND AMONG CEPHEID, DANAHER CORPORATION, AND COPPER MERGER SUB, INC., AN INDIRECT, WHOLLY OWNED SUBSIDIARY OF DANAHER, THE MERGER OF COOPER MERGER SUB, INC. WITH AND INTO CEPHEID, WITH (DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL).	Management	For	For
2	THE PROPOSAL TO APPROVE, ON A NON-BINDING, ADVISORY BASIS, THE COMPENSATION THAT WILL OR MAY BECOME PAYABLE TO THE NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE RELATED TO THE MERGER AS DISCLOSED IN THE PROXY STATEMENT PURSUANT TO ITEM 402(T) OF REGULATION S-K IN THE GOLDEN PARACHUTE COMPENSATION TABLE AND THE RELATED NARRATIVE DISCLOSURES.	Management	For	For
3	THE PROPOSAL TO APPROVE THE ADJOURNMENT OF THE SPECIAL MEETING IF NECESSARY OR APPROPRIATE IN THE VIEW OF THE CEPHEID BOARD OF DIRECTORS TO SOLICIT ADDITIONAL PROXIES IF THERE ARE NOT SUFFICIENT VOTES AT THE TIME OF THE SPECIAL MEETING TO APPROVE THE MERGER AGREEMENT, THE MERGER AND THE PRINCIPAL TERMS THEREOF.	Management	For	For

CIDARA THERAPEUTICS, INC.

Security171757107Meeting TypeAnnualTicker SymbolCDTXMeeting Date22-Jun-2017

Record Date 25-Apr-2017

		Proposed		For/Against
Item	Proposal	by	Vote	Mgmt
1.	DIRECTOR	Management		
	1 DANIEL BURGESS		For	For
	2 THEODORE SCHROEDER		For	For
2.	RATIFICATION OF THE APPOINTMENT OF THE COMPANY S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR ITS FISCAL YEAR ENDING	Management	For	For

DECEMBER 31, 2017

CYTOMX THERAPEUTICS, INC.

Security23284F105Meeting TypeAnnualTicker SymbolCTMXMeeting Date20-Jun-2017Record Date28-Apr-2017

		Proposed		For/Against
Item	Proposal	by	Vote	Mgmt
1A.	ELECTION OF DIRECTOR: NEIL EXTER	Management	For	For
1B.	ELECTION OF DIRECTOR: FREDERICK W. GLUCK	Management	For	For
1C.	ELECTION OF DIRECTOR: MATTHEW P. YOUNG	Management	For	For
2.	TO RATIFY THE SELECTION OF	Management	For	For
	PRICEWATERHOUSECOOPERS LLP AS OUR			
	INDEPENDENT REGISTERED PUBLIC			
	ACCOUNTING FIRM FOR OUR FISCAL YEAR			
	ENDING DECEMBER 31, 2017.			

DEPOMED, INC.

Security249908104Meeting TypeContested-ConsentTicker SymbolDEPOMeeting Date14-Sep-2016Record Date19-Aug-2016

ItemProposedFor/Against01REQUEST SPECIAL MEETING (FOR = REQUEST Management MEETING, AGAINST = DO NOT REQUEST MEETING)For For MEETING

DERMIRA, INC.

Security24983L104Meeting TypeAnnualTicker SymbolDERMMeeting Date13-Jun-2017Record Date19-Apr-2017

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR	Management		
	1 MARK D. MCDADE		For	For
	2 JAKE R. NUNN		For	For
	3 THOMAS G. WIGGANS		For	For
2.	APPROVE, ON A NON-BINDING ADVISORY BASIS, THE	Management	For	For
	COMPENSATION PAID BY US TO OUR NAMED EXECUTIVE			
	OFFICERS FOR THE YEAR ENDED DECEMBER 31, 2016.			
3.	VOTE, ON A NON-BINDING ADVISORY BASIS, ON THE	Management	1 Year	For
	FREQUENCY OF FUTURE ADVISORY VOTES ON			
	EXECUTIVE COMPENSATION.			
4.	RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS	Management	For	For
	OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING			
	FIRM FOR THE YEAR ENDING DECEMBER 31, 2017.			

DYNAVAX TECHNOLOGIES CORPORATION

Security268158201Meeting TypeAnnualTicker SymbolDVAXMeeting Date02-Jun-2017Record Date06-Apr-2017

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR	Management		Ü
	1 DANIEL L. KISNER, M.D.		For	For
	2 NATALE NAT RICCIARDI		For	For
	3 STANLEY A. PLOTKIN, MD.		For	For
2.	TO AMEND AND RESTATE THE DYNAVAX TECHNOLOGIES CORPORATION 2011 EQUITY INCENTIVE PLAN TO, AMONG OTHER THINGS, INCREASE THE AGGREGATE NUMBER OF SHARES OF COMMON STOCK AUTHORIZED FOR ISSUANCE UNDER THAT PLAN BY 1,600,000.	Management	Against	Against
3.	TO AMEND THE DYNAVAX TECHNOLOGIES CORPORATION AMENDED AND RESTATED CERTIFICATE OF INCORPORATION, AS AMENDED, TO INCREASE THE AUTHORIZED NUMBER OF SHARES OF COMMON STOCK FROM 69,500,000 TO 139,000,000.	Management	For	For
4.	TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION OF THE COMPANY S NAMED EXECUTIVE OFFICERS, AS DISCLOSED IN THE PROXY STATEMENT.	Management E	For	For
6.	TO RATIFY THE SELECTION OF ERNST & YOUNG LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF THE COMPANY FOR ITS FISCAL YEAR ENDING	Management	For	For

DECEMBER 31, 2017.

5.	TO INDICATE, ON AN ADVISORY BASIS, THE PREFERRED	Management	1 Year	For
	FREQUENCY OF STOCKHOLDER ADVISORY VOTES ON			
	THE COMPENSATION OF THE COMPANY S NAMED			
	EXECUTIVE OFFICERS.			

DYNEX TECHNOLOGIES, INC.

SecurityN/AMeeting TypeWritten ConsentTicker SymbolN/AMeeting Date24-Mar-2017Record DateN/A

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	MERGER AGREEMENT AND OTHER TRANSACTIONS CONTEMPLATED THEREBY	Management	For	For
2.	TERMINATION OF STOCKHOLDERS AGREEMENT AND REGISTRATION RIGHTS AGREEMENT	Management	For	For
3.	APPROVAL UNDER SECTION 144 OF THE DGCL	Management	For	For
4.	WAIVER OF APPRAISAL RIGHTS	Management	For	For
5.	ADDITIONAL AGREEMENTS AND ACKNOWLEDGEMENTS	Management	For	For
6.	WAIVER OF NOTICE REQUIREMENTS	Management	For	For
7.	GENERAL AUTHORIZATION	Management	For	For

DYNEX TECHNOLOGIES, INC.

SecurityN/AMeeting TypeWritten ConsentTicker SymbolN/AMeeting Date24-Mar-2017Record DateN/A

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	APPROVAL OF AMOUNTS PAYABLE TO THE	Management	For	For
	EMPLOYEES PURSUANT TO THE ARRANGEMENTS			
	(AS DESCRIBED IN THE INFORMATION			
	STATEMENT) IN CONNECTION WITH THE			
	PROPOSED TRANSACTION AND OTHER RELATED			
	RESOLUTIONS			

ENDO INTERNATIONAL PLC

SecurityG30401106Meeting TypeAnnualTicker SymbolENDPMeeting Date08-Jun-2017

Record Date 13-Apr-2017

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1A.	ELECTION OF DIRECTOR: ROGER H. KIMMEL	Management	For	For
1B.	ELECTION OF DIRECTOR: PAUL V. CAMPANELLI	Management	For	For
1C.	ELECTION OF DIRECTOR: SHANE M. COOKE	Management	For	For
1D.	ELECTION OF DIRECTOR: NANCY J. HUTSON, PH.D.	Management	For	For
1E.	ELECTION OF DIRECTOR: MICHAEL HYATT	Management	For	For
1F.	ELECTION OF DIRECTOR: DOUGLAS S. INGRAM	Management	For	For
1G.	ELECTION OF DIRECTOR: WILLIAM P. MONTAGUE	Management	For	For
1H.	ELECTION OF DIRECTOR: TODD B. SISITSKY	Management	For	For
1I.	ELECTION OF DIRECTOR: JILL D. SMITH	Management	For	For
2.	TO APPROVE THE SELECTION OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2017 AND TO AUTHORIZE THE BOARD OF DIRECTORS, ACTING THROUGH THE AUDIT COMMITTEE, TO DETERMINE THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM S REMUNERATION.	Management	For	For
3.	TO APPROVE, BY ADVISORY VOTE, NAMED EXECUTIVE OFFICER COMPENSATION.	Management	For	For
4.	TO APPROVE, BY ADVISORY VOTE, THE FREQUENCY OF FUTURE ADVISORY VOTES ON NAMED EXECUTIVE OFFICER COMPENSATION.	Management	1 Year	For
5.	TO APPROVE THE AMENDMENT OF THE COMPANY S MEMORANDUM OF ASSOCIATION.	Management	For	For

6.	TO APPROVE THE AMENDMENT OF THE	Management	For	For
	COMPANY S ARTICLES OF ASSOCIATION.			
7.	TO APPROVE THE AMENDMENT OF THE	Management	For	For
	COMPANY S AMENDED AND RESTATED 2015			
	STOCK INCENTIVE PLAN.			

EPIZYME, INC.

Security29428V104Meeting TypeAnnualTicker SymbolEPZMMeeting Date22-Jun-2017Record Date24-Apr-2017

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR	Management		
	1 ANDREW R ALLEN, MD, PHD		For	For
	2 KENNETH BATE		For	For
	3 ROBERT BAZEMORE		For	For
2.	RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS EPIZYME S INDEPENDENT REGISTERE PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management D	For	For

EUTHYMICS BIOSCIENCE, INC.

SecurityN/AMeeting TypeWritten ConsentTicker SymbolN/AMeeting Date22-May-2017Record DateN/A

		Proposed		For/Against
Item	Proposal	by	Vote	Mgmt
1.	BRIDGE FINANCING	Management	For	For

EXELIXIS, INC.

Security30161Q104Meeting TypeAnnualTicker SymbolEXELMeeting Date24-May-2017Record Date31-Mar-2017

Item	Dronggal	Proposed by	Vote	For/Against
1A.	Proposal ELECTION OF DIRECTOR: MICHAEL M. MORRISSEY, PH.D.	Management	For	Mgmt For
1B.	ELECTION OF DIRECTOR: STELIOS PAPADOPOULOS, PH.D.	Management	For	For
1C.	ELECTION OF DIRECTOR: GEORGE A. SCANGOS, PH.D.	Management	For	For
1D.	ELECTION OF DIRECTOR: LANCE WILLSEY, M.D.	Management	For	For
2.	TO RATIFY THE SELECTION BY THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS OF ERNST & YOUNG LLP AS EXELIXIS INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 29, 2017.	Management	For	For
3.	TO APPROVE THE EXELIXIS, INC. 2017 EQUITY INCENTIVE PLAN.	Management	For	For
4.	TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION OF EXELIXIS NAMED EXECUTIVE OFFICERS, AS DISCLOSED IN THE PROXY STATEMENT.	Management	For	For
5.	TO INDICATE, ON AN ADVISORY BASIS, THE PREFERRED FREQUENCY OF STOCKHOLDER VOTES ON THE COMPENSATION OF EXELIXIS NAMED EXECUTIVE OFFICERS.	Management	1 Year	For

FLAMEL TECHNOLOGIES S.A.

Security338488109Meeting TypeAnnualTicker SymbolFLMLMeeting Date10-Aug-2016

Record Date 24-Jun-2016

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	TO APPROVE THE FLAMEL TECHNOLOGIES S.A. FRENCH STATUTORY FINANCIAL STATEMENTS FOR THE YEAR ENDED DECEMBER 31, 2015.	Management	For	For
2.	TO APPROVE THE ALLOCATION OF PROFITS FOR THE YEAR ENDED DECEMBER 31, 2015.	Management	For	For
3.	TO RATIFY, ON AN ADVISORY BASIS, THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR U.S. FINANCIAL REPORTING PURPOSES FOR THE YEAR ENDING DECEMBER 31, 2016.	Management	For	For
4.	TO APPOINT A SECOND LEAD STATUTORY AUDITOR AND A SECOND DEPUTY STATUTORY AUDITOR PURSUANT TO ARTICLE L. 823-2 OF THE FRENCH COMMERCIAL CODE.	Management	For	For
5.	TO RENEW MR. MICHAEL S. ANDERSON AS A DIRECTOR.	Management	For	For
6.	TO RENEW MR. GUILLAUME CERUTTI AS A DIRECTOR.	Management	For	For
7.	TO RENEW DR. FRANCIS J.T. FILDES AS A DIRECTOR.	Management	For	For
8.	TO RENEW MR. CHRISTOPHE NAVARRE AS A DIRECTOR.	Management	For	For
9.	TO RENEW THE HONORABLE CRAIG R. STAPLETON AS A DIRECTOR.	Management	For	For
10.	TO RENEW MR. BENOIT VAN ASSCHE AS A DIRECTOR.	Management	For	For
11.	TO APPROVE THE ANNUAL AMOUNT OF DIRECTORS FEES TO BE PAID TO THE BOARD OF DIRECTORS (JETONS DE PRESENCE).	Management	For	For
12.	TO APPROVE A NON-BINDING ADVISORY RESOLUTION ON THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	For	For
13.	TO APPROVE A NON-BINDING ADVISORY RESOLUTION ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON OUR EXECUTIVE COMPENSATION ON AN ANNUAL BASIS.	Shareholder	For	Against
14.	TO APPROVE A NON-BINDING ADVISORY RESOLUTION ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON OUR EXECUTIVE COMPENSATION EVERY TWO YEARS.	Management	Against	Against
15.	TO APPROVE A NON-BINDING ADVISORY RESOLUTION ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON OUR EXECUTIVE COMPENSATION EVERY THREE YEARS.	Shareholder	Against	For
16.	TO APPROVE AGREEMENTS WITH RELATED PARTIES AS DESCRIBED IN ARTICLE L. 225-38 ET	Management	For	For

17. TO REVIEW AND APPROVE THE COMMON DRAFT TREMS OF CROSS-RORDER MRGER (THE) MERGER (SORS-RORDER) MRGER (THE) MERGER (THE MERGER) BY WAY OF ACQUISITION (ASSORPTION) OF THE COMPANY BY ITS WHOLLY OWNED SUBSIDIARY AVAIDE. PHARMACEUTICALS LIMITED TO BE RE-REGISTERED IN IRELAND PRIOR TO THE MERGER AS AN IRISH PUBLIC LIMITED COMPANY, OR PLC. AND RENAMED AVADEL. PHARMACEUTICALS LIMITED TO BE RE-REGISTERED IN IRELAND PRIOR TO THE MERGER AS AN IRISH PUBLIC LIMITED COMPANY, OR PLC. AND RENAMED AVADEL. PHARMACEUTICALS PLC (AVADEL PLC). 18. TO GRANT POWERS TO THE BOARD OF DIRECTORS TO TAKE SUCH FURTHER ACTIONS AS MAY BE INCESSARY TO COMPLETE THE MERGER AND THE OTHER TRANSACTIONS CONTEMPLATED BY THE MERGER AGREEMENT, INCLUDING THE FOWERS TO FILE, NEGOTIALS, SIGN, AMEND AND PUBLISH ANY DOCUMENT, AGREEMENT OR INSTRUMENT NECESSARY TO GROW THE STREET RESEARCH OF COMPLEASE OF THE COMPANY DOCUMENT, AGREEMENT OR INSTRUMENT NECESSARY TO COMPLETE THE CERTIFICATE OF COMPLEASE OF THE STREET CERTIFICATE OF COMPLEASE OF THE STREET CERTIFICATE OF COMPLEASE OF THE STREET THE COMPANY DOCUMENT OF THE STREET COMPRESSORY OF THE STREET THE COMPANY DOCUMENT OF THE STREET TO APPROVE THE REDUCTION OF THE STRAE PREMIUM OF AVADEL PLC TO ALLOW THE CREATION OF DESTRIBUTIONS AND TO PAY DIVIDENDS AND REPUELLED OF STREET OR STREET RISH LAW IN DEDER TO BE COMPLAY AND TE SUBSIDIARIES AS WELL AS TO CORPORATE OFFICE SO OF THE COMPANY AND TE SUBSIDIARIES AS WELL AS TO CORPORATE OFFICE SO OF THE COMPANY AND TE SUBSIDIARIES AS WELL AS TO CORPORATE OFFICE SO OF THE COMPANY AND TE SUBSIDIARIES AS WELL AS TO CORPORATE OFFICE SO OF THE COMPANY AND TE SUBSIDIARIES AS WELL AS TO CORPORATE OFFICE SO OF THE COMPANY AND TE SUBSIDIARIES AS WELL AS TO CORPORATE OFFICE SO OF THE COMPANY AND TE SUBSIDIARIES AS WELL AS TO CORPORATE OFFICE SO OF THE COMPANY AND TE SUBSIDIARIES AS WELL AS TO CORPORATE OF THE COMPANY OF THE BOARD OF THE COMPANY OF THE SHAPEHOLDERS AUTHORIZED FOR THE COMPANY AND THE SUBSCRIPTION OF THE COMPANY AND THE SUBSCRIPTION OF THE COMPANY AND THE SUB		SEQ. OF THE FRENCH COMMERCIAL CODE.			
18. TO GRANT POWERS TO THE BOARD OF DIRECTORS TO TAKE SUCH FURTHER ACTIONS AS MAY BE NECESSARY TO COMPLETE THE MERGER AND THE OTHER TRANSACTIONS CONTEMPLATED BY THE MERGER AGREEMENT, INCLUDING THE POWERS TO FILE, NEGOTIATE, SIGN, AMED AND PUBLISH ANY DOCUMENT, AGREEMENT OR INSTRUMENT NECESSARY FOR SUCH PURPOSES, AND IN PARTICULAR, TO DRAFT, SIGN AND FILE THE CERTIFICATE OF COMPLIANCE WITH THE FRENCH COMMERCIAL CODE. 19. TO APPROVE THE DISSOLUTION WITHOUT LIQUIDATION OF THE COMPANY UNDER THE CONDITION OF THE COMPLETION OF THE MERGER. 20. TO APPROVE THE REDUCTION OF THE SHARE PREMIUM OF AVADEL PLC TO ALLOW THE CREATION TO BUSINESS WITHOUT SHAPE SHARE PREMIUM OF AVADEL PLC TO ALLOW THE CREATION OF DISTRIBUTIONS AND TO PAY DIVIDENDS AND REPURCHASE OR REDUCTION OF THE MERGER. 21. TO AVITHORIZE THE BOARD OF DIRECTORS TO GRANT UP TO 750,000 TREE SHARES POLLOWING COMPLETION OF THE MERGER. 22. TO AVADEL PLC WHICH ARE REQUIRED UNDER RESIDENCE AND REPURCHASE OR REDUCEDEM SHARES TO EMPLOYEES OF THE COMPANY PURSUANT TO A 2016 FREE SHARES POLLOWING COMPLETION OF THE MERGER. 22. TO AUTHORIZE THE BOARD OF DIRECTORS TO GRANT UP TO 750,000 TREE SHARES TO EMPLOYEES OF THE COMPANY PURSUANT TO A 2016 FREE SHARES POLLOWING COMPLETION RIGHTS WITH THE BOARD OF DIRECTORS FOR SHARES AND HERDER SHARES AND THE PURSUANT TO THE SHAREHOLDERS AND THE COMPANY PURSUANT TO THE SHAREHOLDERS AND THE COMPANY PURSUANT TO THE SHAREHOLDERS AND THE COMPANY PURSUANT TO THE SHAREHOLDERS AND THE SHARES OF THE COMPANY PURSUANT TO THE SHAREHOLDERS AND THE SHAREHOLDERS AND THE SHAREHOLDERS AND THE SHAREHOLDERS AND THE SHAREHOLDERS OF THE COMPANY PURSUANT TO THE SHAREHOLDERS AND THE COMPANY PURSUANT TO THE SHAREHOLDERS AND THE SHAREHOLDERS AND THE SHAREHOLDERS AND THE COMPANY PURSUANT TO THE SHAREHOLDERS AND THE SHAREHOLD	17.	TO REVIEW AND APPROVE THE COMMON DRAFT TERMS OF CROSS-BORDER MERGER (THE MERGER AGREEMENT) PROVIDING FOR A MERGER (THE MERGER) BY WAY OF ACQUISITION (ABSORPTION) OF THE COMPANY BY ITS WHOLLY OWNED SUBSIDIARY AVADEL PHARMACEUTICALS LIMITED (TO BE RE-REGISTERED IN IRELAND PRIOR TO THE MERGER AS AN IRISH PUBLIC LIMITED COMPANY, OR PLC, AND RENAMED AVADEL	Management	For	For
LIQUIDATION OF THE COMPANY UNDER THE CONDITION PRECEDENT OF THE COMPLETION OF THE MERGER. 20. TO APPROVE THE REDUCTION OF THE SHARE REMIUM OF AVADEL PLC TO ALLOW THE CREATION OF DISTRIBUTABLE RESERVES OF AVADEL PLC WHICH ARE REQUIRED UNDER IRISH LAW IN ORDER TO ALLOW AVADEL PLC TO MAKE DISTRIBUTIONS AND TO PAY DIVIDENDS AND REPURCHASE OR REDEEM SHARES FOLLOWING COMPLETION OF THE MERGER. 21. TO AUTHORIZE THE BOARD OF DIRECTORS TO GRANT UP TO 750,000 FREE SHARES TO EMPLOYEES OF THE COMPANY AND ITS SUBSIDIARIES AS WELL AS TO CORPORATE OFFICERS OF THE COMPANY PURSUANT TO A 2016 FREE SHARES PLAN TO BE ADOPTED BY THE BOARD OF DIRECTORS PURSUANT TO THE SHAREHOLDERS AUTHORIZATION AND THE REVOCATION AND WAIVER OF SHAREHOLDERS PREEMPTIVE SUBSCRIPTION RIGHTS WITH RESPECT TO SUCH SHARES. 22. TO AUTHORIZE THE BOARD OF DIRECTORS TO GRANT STOCK OPTIONS TO PURCHASE UP TO 1,500,000 SHARES TO EMPLOYEES OF THE COMPANY AND ITS SUBSIDIARIES AS WELL AS TO CORPORATE OFFICERS OF THE COMPANY PURSUANT TO A 2016 STOCK OPTION PLAN TO BE ADOPTED BY THE BOARD OF DIRECTORS PURSUANT TO THE SHAREHOLDERS PURSUANT TO THE SHAREHOLDERS AUTHORIZATION AND THE REVOCATION AND WAIVER OF SHAREHOLDERS AUTHORIZATION STOCK OPTION PLAN TO BE ADOPTED BY THE BOARD OF DIRECTORS PURSUANT TO THE SHAREHOLDERS AUTHORIZATION STOCK OPTION PLAN TO BE ADOPTED BY THE BOARD OF DIRECTORS PURSUANT TO THE SHAREHOLDERS AUTHORIZATION STOCK OPTION PLAN TO BE ADOPTED BY THE BOARD OF DIRECTORS PURSUANT TO THE SHAREHOLDERS AUTHORIZATION STOCK OPTION PLAN TO BE ADOPTED BY THE BOARD OF DIRECTORS PURSUANT TO THE SHAREHOLDERS PURSUANT TO THE SHAREHOLDER	18.	TO GRANT POWERS TO THE BOARD OF DIRECTORS TO TAKE SUCH FURTHER ACTIONS AS MAY BE NECESSARY TO COMPLETE THE MERGER AND THE OTHER TRANSACTIONS CONTEMPLATED BY THE MERGER AGREEMENT, INCLUDING THE POWERS TO FILE, NEGOTIATE, SIGN, AMEND AND PUBLISH ANY DOCUMENT, AGREEMENT OR INSTRUMENT NECESSARY FOR SUCH PURPOSES, AND IN PARTICULAR, TO DRAFT, SIGN AND FILE THE CERTIFICATE OF COMPLIANCE IN RELATION TO THE MERGER IN COMPLIANCE WITH THE FRENCH	Management	For	For
PREMIUM OF AVADEL PLC TO ALLOW THE CREATION OF DISTRIBUTABLE RESERVES OF AVADEL PLC WHICH ARE REQUIRED UNDER IRISH LAW IN ORDER TO ALLOW AVADEL PLC TO MAKE DISTRIBUTIONS AND TO PAY DIVIDENDS AND REPURCHASE OR REDEEM SHARES FOLLOWING COMPLETION OF THE MERGER. 21. TO AUTHORIZE THE BOARD OF DIRECTORS TO EMPLOYEES OF THE COMPANY AND ITS SUBSIDIARIES AS WELL AS TO CORPORATE OFFICERS OF THE COMPANY PURSUANT TO A 2016 FREE SHARES PLAN TO BE ADOPTED BY THE BOARD OF DIRECTORS PURSUANT TO THE SHAREHOLDERS AUTHORIZATION AND THE REVOCATION AND WAIVER OF SHAREHOLDERS PREEMPTIVE SUBSCRIPTION RIGHTS WITH RESPECT TO SUCH SHARES. 22. TO AUTHORIZE THE BOARD OF DIRECTORS TO GRANT STOCK OPTIONS TO PURCHASE UP TO 1,500,000 SHARES TO EMPLOYEES OF THE COMPANY AND ITS SUBSIDIARIES AS WELL AS TO CORPORATE OFFICERS OF THE COMPANY PURSUANT TO A 2016 STOCK OPTION PLAN TO BE ADOPTED BY THE BOARD OF DIRECTORS PURSUANT TO A 2016 STOCK OPTION PLAN TO BE ADOPTED BY THE BOARD OF DIRECTORS PURSUANT TO THE SHAREHOLDERS AUTHORIZATION AND THE REVOCATION AND WAIVER OF SHAREHOLDERS PREEMPTIVE SUBSCRIPTION RIGHTS WITH RESPECT TO SUCH OPTIONS AND THE REPOCATION AND WAIVER OF SHAREHOLDERS PREEMPTIVE SUBSCRIPTION RIGHTS WITH RESPECT TO SUCH OPTIONS AND THE UNDERLYING SHARES.	19.	TO APPROVE THE DISSOLUTION WITHOUT LIQUIDATION OF THE COMPANY UNDER THE CONDITION PRECEDENT OF THE COMPLETION OF	Management	For	For
21. TO AUTHORIZE THE BOARD OF DIRECTORS TO GRANT UP TO 750,000 FREE SHARES TO EMPLOYEES OF THE COMPANY AND ITS SUBSIDIARIES AS WELL AS TO CORPORATE OFFICERS OF THE COMPANY PURSUANT TO A 2016 FREE SHARES PLAN TO BE ADOPTED BY THE BOARD OF DIRECTORS PURSUANT TO THE SHAREHOLDERS AUTHORIZATION AND THE REVOCATION AND WAIVER OF SHAREHOLDERS PREEMPTIVE SUBSCRIPTION RIGHTS WITH RESPECT TO SUCH SHARES. 22. TO AUTHORIZE THE BOARD OF DIRECTORS TO GRANT STOCK OPTIONS TO PURCHASE UP TO 1,500,000 SHARES TO EMPLOYEES OF THE COMPANY AND ITS SUBSIDIARIES AS WELL AS TO CORPORATE OFFICERS OF THE COMPANY PURSUANT TO A 2016 STOCK OPTION PLAN TO BE ADOPTED BY THE BOARD OF DIRECTORS PURSUANT TO THE SHAREHOLDERS AUTHORIZATION AND THE REVOCATION AND WAIVER OF SHAREHOLDERS PREEMPTIVE SUBSCRIPTION RIGHTS WITH RESPECT TO SUCH OPTIONS AND THE UNDERLYING SHARES. 23. TO AUTHORIZE THE BOARD OF DIRECTORS TO Management For For	20.	PREMIUM OF AVADEL PLC TO ALLOW THE CREATION OF DISTRIBUTABLE RESERVES OF AVADEL PLC WHICH ARE REQUIRED UNDER IRISH LAW IN ORDER TO ALLOW AVADEL PLC TO MAKE DISTRIBUTIONS AND TO PAY DIVIDENDS AND REPURCHASE OR REDEEM SHARES	Management	For	For
GRANT STOCK OPTIONS TO PURCHASE UP TO 1,500,000 SHARES TO EMPLOYEES OF THE COMPANY AND ITS SUBSIDIARIES AS WELL AS TO CORPORATE OFFICERS OF THE COMPANY PURSUANT TO A 2016 STOCK OPTION PLAN TO BE ADOPTED BY THE BOARD OF DIRECTORS PURSUANT TO THE SHAREHOLDERS AUTHORIZATION AND THE REVOCATION AND WAIVER OF SHAREHOLDERS PREEMPTIVE SUBSCRIPTION RIGHTS WITH RESPECT TO SUCH OPTIONS AND THE UNDERLYING SHARES. 23. TO AUTHORIZE THE BOARD OF DIRECTORS TO Management For For	21.	TO AUTHORIZE THE BOARD OF DIRECTORS TO GRANT UP TO 750,000 FREE SHARES TO EMPLOYEES OF THE COMPANY AND ITS SUBSIDIARIES AS WELL AS TO CORPORATE OFFICERS OF THE COMPANY PURSUANT TO A 2016 FREE SHARES PLAN TO BE ADOPTED BY THE BOARD OF DIRECTORS PURSUANT TO THE SHAREHOLDERS AUTHORIZATION AND THE REVOCATION AND WAIVER OF SHAREHOLDERS PREEMPTIVE SUBSCRIPTION RIGHTS WITH		For	For
23. TO AUTHORIZE THE BOARD OF DIRECTORS TO Management For For	22.	TO AUTHORIZE THE BOARD OF DIRECTORS TO GRANT STOCK OPTIONS TO PURCHASE UP TO 1,500,000 SHARES TO EMPLOYEES OF THE COMPANY AND ITS SUBSIDIARIES AS WELL AS TO CORPORATE OFFICERS OF THE COMPANY PURSUANT TO A 2016 STOCK OPTION PLAN TO BE ADOPTED BY THE BOARD OF DIRECTORS PURSUANT TO THE SHAREHOLDERS AUTHORIZATION AND THE REVOCATION AND WAIVER OF SHAREHOLDERS PREEMPTIVE SUBSCRIPTION RIGHTS WITH RESPECT TO SUCH		For	For
	23.	TO AUTHORIZE THE BOARD OF DIRECTORS TO	Management	For	For

	PURCHASE UP TO 350,000 SHARES TO NON-EMPLOYEE DIRECTORS OF THE COMPANY AND ITS SUBSIDIARIES (INCLUDING THE CHAIRMAN OF THE BOARD OF DIRECTORS), AND THE REVOCATION AND WAIVER OF SHAREHOLDERS PREEMPTIVE SUBSCRIPTION RIGHTS WITH RESPECT TO SUCH WARRANTS AND THE UNDERLYING SHARES.			
24.	TO AUTHORIZE THE BOARD OF DIRECTORS TO INCREASE THE SHARE CAPITAL BY ISSUING SHARES RESERVED FOR THE MEMBERS OF A COMPANY SAVINGS PLAN ESTABLISHED IN APPLICATION OF ARTICLES L. 3332-18 ET SEQ. OF THE FRENCH LABOR CODE, AND THE REVOCATION AND WAIVER OF SHAREHOLDERS PREEMPTIVE SUBSCRIPTION RIGHTS WITH RESPECT TO SUCH SHARES.	Shareholder	Against	For
25.	TO AUTHORIZE THE BOARD OF DIRECTORS OR ANY PERSON DELEGATED BY IT WITH THE POWERS NECESSARY TO CARRY OUT ANY FORMALITIES REQUIRED BY LAW TO GIVE EFFECT TO THE RESOLUTIONS APPROVED AT THE MEETING.	Management	For	For

FLEX PHARMA INC

Security33938A105Meeting TypeAnnualTicker SymbolFLKSMeeting Date01-Jun-2017Record Date07-Apr-2017

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR	Management		8
	1 ROBERT PEREZ	-	For	For
	2 STUART RANDLE		For	For
2.	TO RATIFY THE SELECTION BY THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS OF ERNST & YOUNG LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF THE COMPANY FOR ITS FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For

FOAMIX PHARMACEUTICALS LTD

SecurityM46135105Meeting TypeAnnualTicker SymbolFOMXMeeting Date13-Jul-2017Record Date15-Jun-2017

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	APPOINT KESSELMAN & KESSELMAN (PWC ISRAEL) AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR 2017, AND AUTHORIZE THE BOARD TO DETERMINE THE COMPENSATION OF THE AUDITORS.	Management	For	For
2.	RATIFY THE ELECTION OF DR. DALIA MEGIDDO AS A DIRECTOR OF THE COMPANY.	Management	For	For
3.	APPROVE THE TERMS OF COMPENSATION OF DR. STANLEY HIRSCH, OUR CHAIRMAN OF THE BOARD OF DIRECTORS, FOR THE YEAR 2016 AND ONWARD.	Management	For	For
4.	APPROVE THE AWARD OF ADDITIONAL OPTIONS AND RESTRICTED SHARE UNITS TO THE COMPANY S NON- EXECUTIVE DIRECTORS.	Management	For	For
5.	APPROVE AN INCREASE OF THE AUTHORIZED SHARE CAPITAL OF THE COMPANY BY AN ADDITIONAL NIS 6,400,000 DIVIDED INTO 40,000,000 ORDINARY SHARES WITH A NOMINAL VALUE OF NIS 0.16 PER SHARE.	Management	Abstain	Against

GALAPAGOS N V

Security36315X101Meeting TypeSpecialTicker SymbolGLPGMeeting Date26-Jul-2016Record Date08-Jul-2016

Item	Proposal	Proposed by	Vote	For/Against Mgmt
S1.	TO APPOINT MS. MARY KERR AS AN INDEPENDENT DIRECTOR OF THE COMPANY.	Management	For	-
E2.	AUTHORIZATION TO THE BOARD OF DIRECTORS TO INCREASE THE SHARE CAPITAL WITHIN THE FRAMEWORK OF THE AUTHORIZED CAPITAL BY UP TO 40% OF THE SHARE CAPITAL.	Management	For	

GALAPAGOS N V

Security36315X101Meeting TypeAnnualTicker SymbolGLPGMeeting Date25-Apr-2017

Record Date 20-Mar-2017

		Proposed		For/Against
Item	Proposal	by	Vote	Mgmt
O2	COMMUNICATION AND APPROVAL OF THE NON-CONSOLIDATED ANNUAL ACCOUNTS OF	Management	For	
	THE COMPANY FOR THE FINANCIAL YEAR			
	ENDED ON 31 DECEMBER 2016 AND APPROVAL OF			
	THE ALLOCATION OF THE ANNUAL RESULT AS			
	PROPOSED BY THE BOARD OF DIRECTORS.			
O5	COMMUNICATION AND APPROVAL OF THE	Management	For	
	REMUNERATION REPORT.			
O6	RELEASE FROM LIABILITY TO BE GRANTED TO	Management	For	
	THE DIRECTORS AND THE STATUTORY AUDITOR			
	FOR THE PERFORMANCE OF THEIR DUTIES IN THE			
	COURSE OF THE FINANCIAL YEAR ENDED 31 DECEMBER 2016.			
07	RATIFICATION OF THE STATUTORY AUDITOR S	Management	For	
07	REMUNERATION FOR THE FINANCIAL YEAR	Management	POI	
	ENDED ON 31 DECEMBER 2016.			
O8	RE-APPOINTMENT OF STATUTORY AUDITOR AND	Management	For	
	DETERMINATION OF STATUTORY AUDITOR S	Ü		
	REMUNERATION.			
O9A	RE-APPOINTMENT OF MR. ONNO VAN DE STOLPE	Management	For	
	AS DIRECTOR OF THE COMPANY.			
O9B	RE-APPOINTMENT OF DR. RAJ PAREKH AS	Management	For	
000	DIRECTOR OF THE COMPANY.	3.6		
O9C	RE-APPOINTMENT OF MS. KATRINE BOSLEY AS	Management	For	
O10	INDEPENDENT DIRECTOR OF THE COMPANY. REMUNERATION OF DIRECTORS.	Management	For	
O10	OFFER OF WARRANTS.	Management	For	
012	APPLICATION OF ARTICLE 556 OF THE BELGIAN	Management	For	
012	COMPANIES CODE.	1vianagement	101	
E2	AUTHORIZATION TO THE BOARD OF DIRECTORS	Management	For	
	TO INCREASE THE SHARE CAPITAL WITHIN THE	C		
	FRAMEWORK OF THE AUTHORIZED CAPITAL BY			
	UP TO 20% OF THE SHARE CAPITAL.			
E3	AUTHORIZATION TO THE BOARD OF DIRECTORS	Management	For	
	TO INCREASE THE SHARE CAPITAL WITHIN THE			
	FRAMEWORK OF THE AUTHORIZED CAPITAL BY			
	UP TO 33% OF THE SHARE CAPITAL, IN SPECIFIC			
	CIRCUMSTANCES.			

GALAPAGOS NV

Meeting Type Meeting Date ExtraOrdinary General Meeting 26-Jul-2016 Security B44170106

Ticker Symbol GLPG NA **Record Date** 12-Jul-2016

Item	Proposal	Proposed by	Vote	For/Against Mgmt
	*			Mignit
S.1	ELECT MARY KERR AS DIRECTOR	Management	For	
E.1	RECEIVE SPECIAL BOARD REPORT RE: ITEM 2	Non-Voting		
E.2	RENEW AUTHORIZATION TO INCREASE SHARE	Management	For	
	CAPITAL WITHIN THE FRAMEWORK OF			
	AUTHORIZED CAPITAL			

GALAPAGOS NV

Security Ticker Symbol Record Date Meeting Type Meeting Date B44170106 MIX

GLPG NA 25-Apr-2017 11-Apr-2017

Item	Proposal	Proposed by	Vote	For/Against Mgmt
0.1	RECEIVE DIRECTORS AND AUDITORS REPORTS	Non-Voting	Vote	wigint
0.2	APPROVE FINANCIAL STATEMENTS AND ALLOCATION OF INCOME	Management	For	For
O.3	RECEIVE AUDITORS REPORTS	Non-Voting		
O.4	RECEIVE CONSOLIDATED FINANCIAL STATEMENTS AND STATUTORY REPORTS	Non-Voting		
O.5	APPROVE REMUNERATION REPORT	Management	For	For
O.6	APPROVE DISCHARGE OF DIRECTORS AND AUDITORS	Management	For	For
O.7	APPROVE REMUNERATION OF AUDITORS	Management	For	For
O.8	RATIFY DELOITTE AS AUDITORS	Management	For	For
O.9.I	RE-ELECT ONNO VAN STOLPE AS DIRECTOR	Management	For	For
O.9II	RE-ELECT RAJ PAREKH AS DIRECTOR	Management	For	For
O9III	RE-ELECT KATRINE BOSLEY AS INDEPENDENT DIRECTOR	Management	For	For
O.10	APPROVE REMUNERATION OF DIRECTORS	Management	For	For
O.11	APPROVE GALAPAGOS WARRANT PLAN 2017	Management	For	For
O.12	APPROVE CHANGE OF CONTROL CLAUSE	Management	For	For
O.13	TRANSACT OTHER BUSINESS	Non-Voting		
E.1	RECEIVE SPECIAL BOARD REPORT RE: AUTHORIZATION TO INCREASE CAPITAL	Non-Voting		
E.2	RENEW AUTHORIZATION TO INCREASE SHARE CAPITAL WITHIN THE FRAMEWORK OF AUTHORIZED CAPITAL UP TO 20 PERCENT OF THE SHARE CAPITAL	Management	For	For
E.3	RENEW AUTHORIZATION TO INCREASE SHARE CAPITAL WITHIN THE FRAMEWORK OF AUTHORIZED CAPITAL UP TO 33 PERCENT OF THE SHARE CAPITAL	Management	For	For

GENMARK DIAGNOSTICS, INC.

Security372309104Meeting TypeAnnualTicker SymbolGNMKMeeting Date25-May-2017Record Date29-Mar-2017

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR	Management		
	1 DARYL J. FAULKNER		For	For
	2 JAMES FOX, PH.D.		For	For
2.	TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS THE COMPANY S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION OF THE COMPANY S NAMED EXECUTIVE OFFICERS.	Management	For	For
4.	TO INDICATE, ON AN ADVISORY BASIS, THE PREFERRED FREQUENCY WITH WHICH THE COMPANY SHOULD HOLD FUTURE STOCKHOLDER ADVISORY VOTES ON THE COMPENSATION OF THE COMPANY S NAMED EXECUTIVE OFFICERS.	Management	1 Year	