Zosano Pharma Corp Form 3 March 28, 2017

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Zosano Pharma Corp [ZSAN] A Amzak Capital Management, (Month/Day/Year) LLC 03/22/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 980 N FEDERAL HIGHWAY (Check all applicable) SUITE 315. (Street) 6. Individual or Joint/Group \_\_X\_\_ 10% Owner Director Officer Other Filing(Check Applicable Line) (give title below) (specify below) Form filed by One Reporting BOCA RATON, Â FLÂ 33432 \_X\_ Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D (1) Â Common Stock, par value of \$0.0001 5,151,813 Owned by Michael D. Kazma, Common Stock, par value of \$0.0001 118,033 I manager of Amzak Capital Management, LLC Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

#### Edgar Filing: Zosano Pharma Corp - Form 3

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Expiration Date	Title	Amount or Number of Shares	Derivative Security Security Direct (I or Indire (I)	Security: Direct (D) or Indirect (I) (Instr. 5)	(
Series A Warrant (1)	08/19/2016	08/26/2017	Common Stock	1,095,153	\$ 1.45	D (1)	Â
Series B Warrant (1)	08/19/2016	08/19/2021	Common Stock	1,095,153	\$ 1.55	D (1)	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Amzak Capital Management, LLC 980 N FEDERAL HIGHWAY SUITE 315, BOCA RATON, FL 33432	Â	ÂX	Â	Â		
Kazma Michael D 980 N FEDERAL HIGHWAY SUITE 315, BOCA RATON, FL 33432	Â	ÂΧ	Â	Â		
Kazma Gerald J 980 N FEDERAL HIGHWAY SUITE 315, BOCA RATON, FL 33432	Â	ÂX	Â	Â		

#### **Signatures**

AMZAK CAPITAL MANAGEMENT, LLC By: /s/ Michael Kazma MICHAEL D KAZMA PRESIDENT /s/ Michael D. Kazma MICHAEL KAZMA /s/ Gerry Kazma GERRY KAZMA

03/27/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The securities reported as directly beneficially owned by the Reporting Person may be deemed to be indirectly beneficially owned by each of the Reporting Owners listed below, each of whom is a manager of the Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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