

NATURAL HEALTH TRENDS CORP

Form 4

May 08, 2014

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BROADY GEORGE K**

2. Issuer Name and Ticker or Trading Symbol  
**NATURAL HEALTH TRENDS CORP [NHTC]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

751 CANYON DRIVE, SUITE 100

02/10/2014

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

COPPELL, TX 75019

(City) (State) (Zip)

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount or Price   |  |                                   |
| Common Stock                    | 02/10/2014                           |  | P                              |   | 2,100 A \$ 4.44   | 412,418  | I See Footnote (1)                |
| Common Stock                    | 02/11/2014                           |  | P                              |   | 20,000 A \$ 4.5   | 432,418  | I See Footnote (1)                |
| Common Stock                    | 02/11/2014                           |  | P                              |   | 8,500 A \$ 4.7  | 440,918  | I See Footnote (1)                |
| Common Stock                    | 02/12/2014                           |  | P                              |   | 15,200 A \$ 4.55  | 456,118  | I See Footnote                    |

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|              |            |   |        |   |         |         |   |  | (1)              |
|--------------|------------|---|--------|---|---------|---------|---|--|------------------|
| Common Stock | 02/12/2014 | P | 3,479  | A | \$ 4.45 | 459,597 | I |  | See Footnote (1) |
| Common Stock | 02/13/2014 | P | 10,171 | A | \$ 4.55 | 469,768 | I |  | See Footnote (1) |
| Common Stock | 02/24/2014 | P | 10,806 | A | \$ 4.45 | 480,574 | I |  | See Footnote (1) |
| Common Stock | 02/24/2014 | P | 2,944  | A | \$ 4.35 | 483,518 | I |  | See Footnote (1) |
| Common Stock | 02/24/2014 | P | 2,750  | A | \$ 4.2  | 486,268 | I |  | See Footnote (1) |
| Common Stock | 02/24/2014 | P | 200    | A | \$ 4.38 | 486,468 | I |  | See Footnote (1) |
| Common Stock | 02/25/2014 | P | 9,760  | A | \$ 4.7  | 496,228 | I |  | See Footnote (1) |
| Common Stock | 02/25/2014 | P | 870    | A | \$ 4.55 | 497,098 | I |  | See Footnote (1) |
| Common Stock | 03/12/2014 | P | 300    | A | \$ 4.45 | 497,398 | I |  | See Footnote (1) |
| Common Stock | 03/31/2014 | P | 3,200  | A | \$ 4.95 | 500,598 | I |  | See Footnote (1) |
| Common Stock | 04/01/2014 | P | 16,800 | A | \$ 4.95 | 517,398 | I |  | See Footnote (1) |
| Common Stock | 04/17/2014 | P | 10,000 | A | \$ 5.45 | 527,398 | I |  | See Footnote (1) |
| Common Stock | 04/21/2014 | P | 10,000 | A | \$ 5.46 | 537,398 | I |  | See Footnote (1) |
| Common Stock | 04/25/2014 | P | 9,100  | A | \$ 5.6  | 546,498 | I |  | See Footnote (1) |

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|              |            |   |        |   |         |         |   |                  |
|--------------|------------|---|--------|---|---------|---------|---|------------------|
| Common Stock | 04/25/2014 | P | 7,722  | A | \$ 5.45 | 554,220 | I | See Footnote (1) |
| Common Stock | 04/25/2014 | P | 900    | A | \$ 5.59 | 555,120 | I | See Footnote (1) |
| Common Stock | 04/25/2014 | P | 178    | A | \$ 5.4  | 555,298 | I | See Footnote (1) |
| Common Stock | 04/28/2014 | P | 10,000 | A | \$ 5.45 | 565,298 | I | See Footnote (1) |
| Common Stock | 04/28/2014 | P | 10,000 | A | \$ 5.5  | 575,298 | I | See Footnote (1) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares  |

## Reporting Owners

| Reporting Owner Name / Address                                      | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| BROADY GEORGE K<br>751 CANYON DRIVE, SUITE 100<br>COPPELL, TX 75019 | X             | X         |         |       |

## Signatures

/s/ George K.  
Broady

05/08/2014

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Through George K. Broady 2012 Irrevocable Trust, of which Mr. Broady is the trustee and a beneficiary.

### Remarks:

Form 2 of 2

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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