Edgar Filing: Welch David F - Form 4

Welch Davi Form 4	d F										
August 17, 2	2010										
FORM						NCEC	MARCION	OMB AF OMB	PROVAL		
UNITED STATES			SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549						3235-0287		
Check th if no lon subject t Section Form 4 o Form 5	ger STATEN o STATEN 16. or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP O SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934							January 31, 2005 verage rs per 0.5		
obligatio may con <i>See</i> Instr 1(b). (Print or Type	tinue. Section 17(e Utility Hol e Investment	•	· ·		1935 or Section	1			
(Time of Type	(csponses)										
1. Name and Address of Reporting Person <u>*</u> Welch David F		Symb	ssuer Name an ool INERA COF			0	5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (I		te of Earliest T	-			(Check	c all applicable)		
C/O INFIN CORPORA DRIVE	ERA ATION, 169 JAVA	08/1	th/Day/Year) 3/2010				Director _X Officer (give below) Chief Mktg		Owner r (specify fficer		
(Street) 4. If Amendment, Dat Filed(Month/Day/Year)				-	1	oint/Group Filing(Check One Reporting Person					
SUNNYVA	ALE, CA 94089					-	Form filed by Me Person	ore than One Re	porting		
(City)	(State)	(Zip)	Fable I - Non-l	Derivative	Secur	ities Acqu	ired, Disposed of,	or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code	4. Securit oror Dispos (Instr. 3, 4 Amount	ed of	(D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	08/13/2010		S <u>(1)</u>	10,000	D	\$ 8.1494 (2)	1,027,645	Ι	See footnote (3)		
Common Stock	08/16/2010		S	10,000	D	\$ 8.4286 (4)	144,178	I	See footnote (5)		
Common Stock							11,177	D			
Common Stock							553,750	Ι	See footnote		

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									(6)				
									(6) See				
Common Stock						500,0	00 I		foo	tnote			
SIGCK									<u>(7)</u>				
Common						2,500	Ι		See foo	tnote			
Stock						_,	-		(8)				
Reminder: R	Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly												
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.													
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)													
1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exerc			tle and	8. Price of	9. Nu		
Derivative Security	Conversion or Exercise	(Month/Day/Year)	any	Transactio Code	of	Expiration D (Month/Day/		Unde	unt of erlying	Derivative Security	Deriv Secui		
(Instr. 3)	Price of Derivative		(Month/Day/Year)	(Instr. 8)	Derivative Securities			Secur (Instr	: 3 and 4)	(Instr. 5)	Bene Owne		
	Security				Acquired (A) or						Follo Repo		
					Disposed of (D)						Trans (Instr		
					(Instr. 3, 4, and 5)								
						_			Amount or				
						Date Exercisable	Expiration Date	Title	Number of				
				Code V	(A) (D)				Shares				
Repo	rting O	wners											
				Rel	ationships								
Reporti	ng Owner Na	ime / Address	Director 10% Owner				0	ther					
Welch Da	wid F												
C/O INFINERA CORPORATION 169 JAVA DRIVE SUNNYVALE, CA 94089				Chief Mktg & Strategy Officer									
Signa	tures												
/s/ Micha attorney	ael O. McCa	arthy, by power of	f 08/	/17/2010									
	**Signature of R	Reporting Person		Date									

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on August 7, 2009.

The sale price reported in column 4 of Table I represents the weighted average sale price of the shares sold ranging from \$8.06 to \$8.24
 (2) per share. Upon request by the Commission staff, the Issuer, or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes (2) and (4) to this Form 4.

- (3) Shares held directly by LRFA, LLC of which the Reporting Person is the sole managing member.
- (4) The sale price reported in column 4 of Table I represents the weighted average sale price of the shares sold ranging from \$8.00 to \$8.61 per share.
- (5) Shares held directly by the Welch Family Trust dated 4/3/96 for which the Reporting Person serves as trustee.
- (6) Shares held directly by SEI Private Trust Company, Trustee of the Welch Family Heritage Trust I u/l dated 9/24/01.
- (7) Shares held directly by Welch Group, L.P. of which the Reporting Person is the general partner.

Shares held directly by the Reporting Person as a trustee for his minor children. The Reporting Person disclaims beneficial ownership of(8) the shares held in trust for his minor children, and this report shall not be deemed an admission that the Reporting Person is the beneficial owner of the shares held in trust for his minor children for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.