

DEXCOM INC
Form 4
March 06, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
GREGG TERRANCE H

(Last) (First) (Middle)

6340 SEQUENCE DRIVE

(Street)

SAN DIEGO, CA 92121

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
DEXCOM INC [DXCM]

3. Date of Earliest Transaction
(Month/Day/Year)
03/04/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/04/2014		M	25,000 A	\$ 6.85 237,813	D	
Common Stock	03/04/2014		S	25,000 D	\$ 46.7299 212,813 ⁽¹⁾	D	
Common Stock	03/04/2014		M	20,000 A	\$ 21 232,813	D	
Common Stock	03/04/2014		S	20,000 D	\$ 46.8545 212,813 ⁽¹⁾ ⁽²⁾	D	
Common Stock	03/05/2014		M	1,890 A	\$ 7.31 214,703	D	

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Common Stock	03/05/2014	S	1,890	D	\$ 46.86	212,813 ⁽¹⁾	D	
Common Stock	03/05/2014	M	5,000	A	\$ 3.19	217,813	D	
Common Stock	03/05/2014	S	5,000	D	\$ 46.86	212,813 ⁽¹⁾	D	
Common Stock	03/05/2014	M	60,000	A	\$ 6.85	272,813	D	
Common Stock	03/05/2014	S	60,000	D	\$ 48.0321 ⁽³⁾	212,813 ⁽¹⁾	D	
Common Stock						11,461	I	by IRA
Common Stock						712,889	I	by Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	8. Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Non-Qualified Stock Option (right to buy)	\$ 3.19	03/05/2014		M	5,000	12/11/2009	12/11/2018	Common Stock 5,
Non-Qualified Stock Option (right to buy)	\$ 6.85	03/04/2014		M	25,000	06/19/2008	06/19/2017	Common Stock 25
Non-Qualified Stock Option (right to buy)	\$ 6.85	03/05/2014		M	60,000	06/19/2008	06/19/2017	Common Stock 60
	\$ 7.31	03/05/2014		M	1,890	05/23/2008	05/23/2017	1,

Non-Qualified
Stock Option
(right to buy)

Common
Stock

Non-Qualified
Stock Option
(right to buy)

\$ 21

03/04/2014

M

20,000

05/19/2007

05/19/2016

Common
Stock

20

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GREGG TERRANCE H 6340 SEQUENCE DRIVE SAN DIEGO, CA 92121	X		CEO	

Signatures

By: Jess Roper For: Terrance H.
Gregg

03/06/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Included in this number are 126,954 unvested restricted stock units granted on March 11, 2013, 5,078 of which shall vest each month through March 11, 2016.

- (2) This transaction was executed in multiple trades at prices ranging from \$46.52 to \$47.19. The price above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

- (3) This transaction was executed in multiple trades at prices ranging from \$46.86 to \$48.78. The price above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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