#### EAST WEST BANCORP INC

Form 4

August 10, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

Expires:

3235-0287

January 31, 2005

0.5

Estimated average

burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* LI HERMAN Y

2. Issuer Name and Ticker or Trading

Symbol

EAST WEST BANCORP INC

[EWBC]

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 08/06/2015

X\_ Director 10% Owner Officer (give title Other (specify

EAST WEST BANCORP, INC., 135 N. LOS ROBLES AVE. 7TH

**FLOOR** 

1.Title of

Security

(Instr. 3)

(Street)

4. If Amendment, Date Original

(Instr. 8)

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

PASADENA, CA 91101

(City) (State) (Zip)

(Month/Day/Year) Execution Date, if

2. Transaction Date 2A. Deemed

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

3. 4. Securities TransactionAcquired (A) or Disposed of (D) Code

(Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct (D) or Indirect (I) (Instr. 4)

Indirect Beneficial Ownership (Instr. 4)

(9-02)

(A)

Reported Transaction(s)

(Instr. 3 and 4) Price Code V Amount (D)

Common Stock

08/06/2015

Α 2,230

\$0 52,230 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: EAST WEST BANCORP INC - Form 4

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.         | 5.                     | 6. Date Exerc       |                    | 7. Title         |            | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------------------|---------------------|--------------------|------------------|------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | onNumber               | ber Expiration Date |                    | Amount of        |            | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of                     | (Month/Day/         | Year)              | Underl           | ying       | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative             | e                   | Securities         |                  | (Instr. 5) | Bene        |        |
|             | Derivative  |                     |                    |            | Securities<br>Acquired |                     |                    | (Instr. 3 and 4) |            |             | Owne   |
|             | Security    |                     |                    |            |                        |                     |                    |                  |            |             | Follo  |
|             | ·           |                     |                    |            | (A) or                 |                     |                    |                  |            | Repo        |        |
|             |             |                     |                    |            | Disposed               |                     |                    |                  |            |             | Trans  |
|             |             |                     |                    |            | of (D)                 |                     |                    |                  |            |             | (Instr |
|             |             |                     |                    |            | (Instr. 3,             |                     |                    |                  |            |             |        |
|             |             |                     |                    |            | 4, and 5)              |                     |                    |                  |            |             |        |
|             |             |                     |                    |            | , )                    |                     |                    |                  |            |             |        |
|             |             |                     |                    |            |                        |                     |                    |                  | Amount     |             |        |
|             |             |                     |                    |            |                        | Date<br>Exercisable | Expiration<br>Date |                  | or         |             |        |
|             |             |                     |                    |            |                        |                     |                    | Title            | Number     |             |        |
|             |             |                     |                    |            |                        |                     |                    |                  | of         |             |        |
|             |             |                     |                    | Code V     | (A) (D)                |                     |                    |                  | Shares     |             |        |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

LI HERMAN Y
EAST WEST BANCORP, INC.
135 N. LOS ROBLES AVE. 7TH FLOOR
PASADENA, CA 91101

X

## **Signatures**

Douglas P. Krause, Attorney-in-Fact

08/10/2015

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2