

EMCOR GROUP INC
Form 4
March 06, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Guzzi Anthony

(Last) (First) (Middle)
301 MERRITT SEVEN
(Street)

NORWALK, CT 06851

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
EMCOR GROUP INC [EME]

3. Date of Earliest Transaction
(Month/Day/Year)
03/04/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
President and CEO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) | Price | | |
| Common Stock | 03/04/2014 | | M | 40,579 | A \$ 9.67 | 586,829 | D |
| Common Stock | 03/04/2014 | | M | 142,272 | A \$ 11.27 | 729,101 | D |
| Common Stock | 03/04/2014 | | S | 100 | D \$ 46.1 | 729,001 | D |
| Common Stock | 03/04/2014 | | S | 14,200 | D \$ 46.11 | 714,801 | D |
| Common Stock | 03/04/2014 | | S | 1,000 | D \$ 46.12 | 713,801 | D |

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| | | | | | | | |
|--------------|------------|---|--------|---|-----------|---------|---|
| Common Stock | 03/04/2014 | S | 1,497 | D | \$ 46.13 | 712,304 | D |
| Common Stock | 03/04/2014 | S | 1,000 | D | \$ 46.14 | 711,304 | D |
| Common Stock | 03/04/2014 | S | 2,794 | D | \$ 46.15 | 708,510 | D |
| Common Stock | 03/04/2014 | S | 1,754 | D | \$ 46.16 | 706,756 | D |
| Common Stock | 03/04/2014 | S | 100 | D | \$ 46.165 | 706,656 | D |
| Common Stock | 03/04/2014 | S | 1,881 | D | \$ 46.17 | 704,775 | D |
| Common Stock | 03/04/2014 | S | 2,844 | D | \$ 46.18 | 701,931 | D |
| Common Stock | 03/04/2014 | S | 2,618 | D | \$ 46.19 | 699,313 | D |
| Common Stock | 03/04/2014 | S | 100 | D | \$ 46.195 | 699,213 | D |
| Common Stock | 03/04/2014 | S | 7,876 | D | \$ 46.2 | 691,337 | D |
| Common Stock | 03/04/2014 | S | 400 | D | \$ 46.205 | 690,937 | D |
| Common Stock | 03/04/2014 | S | 5,468 | D | \$ 46.21 | 685,469 | D |
| Common Stock | 03/04/2014 | S | 5,079 | D | \$ 46.22 | 680,390 | D |
| Common Stock | 03/04/2014 | S | 100 | D | \$ 46.225 | 680,290 | D |
| Common Stock | 03/04/2014 | S | 7,968 | D | \$ 46.23 | 672,322 | D |
| Common Stock | 03/04/2014 | S | 600 | D | \$ 46.235 | 671,722 | D |
| Common Stock | 03/04/2014 | S | 7,300 | D | \$ 46.24 | 664,422 | D |
| Common Stock | 03/04/2014 | S | 100 | D | \$ 46.245 | 664,322 | D |
| Common Stock | 03/04/2014 | S | 10,845 | D | \$ 46.25 | 653,477 | D |
| Common Stock | 03/04/2014 | S | 50 | D | \$ 46.255 | 653,427 | D |
| | 03/04/2014 | S | 10,793 | D | \$ 46.26 | 642,634 | D |

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| | | | | | | | | | |
|--------------|------------|--|---|-------|---|-----------|--------------------------------------|---|------------------------------------|
| Common Stock | | | | | | | | | |
| Common Stock | 03/04/2014 | | S | 600 | D | \$ 46.265 | 642,034 | D | |
| Common Stock | 03/04/2014 | | S | 8,340 | D | \$ 46.27 | 633,694 | D | |
| Common Stock | 03/04/2014 | | S | 100 | D | \$ 46.275 | 633,594 ⁽¹⁾ <u>(2)</u> | D | |
| Common Stock | | | | | | | 5,790 ⁽³⁾ | I | The Guzzi Family Irrevocable Trust |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount Number Shares |
| Employee Stock Option (right to buy) | \$ 9.67 | 03/04/2014 | | M | 40,579 | ⁽⁴⁾ | 10/24/2012 | Common Stock | 40,579 |
| Employee Stock Option (right to buy) | \$ 11.27 | 03/04/2014 | | M | 142,272 | ⁽⁵⁾ | 01/02/2015 | Common Stock | 142,272 |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Guzzi Anthony
301 MERRITT SEVEN
NORWALK, CT 06851

X

President and CEO

Signatures

Anthony Guzzi

03/06/2014

 Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares issuable in respect of restricted stock units.
- (2) Transactions are continued on a Form 4 filed contemporaneously herewith.
These securities were transferred by the reporting person as a gift to The Guzzi Family Irrevocable Trust (the "Trust") for the benefit of
- (3) the reporting person's children. The reporting person's spouse is trustee of the Trust. Such transfer was reported on a previously filed Form 4. The reporting person disclaims beneficial ownership of such securities.
- (4) 1/3 exercisable on 10/25/05; 1/3 exercisable on 10/25/06; 1/3 exercisable on 10/25/07.
- (5) 1/3 exercisable on 1/03/06; 1/3 exercisable on 1/03/07; 1/3 exercisable on 1/03/08.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.