

INOVIO PHARMACEUTICALS, INC.

Form 4

May 15, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
COLLINS MORTON

(Last) (First) (Middle)  
660 W. GERMANTOWN  
PIKE, SUITE 110  
(Street)

PLYMOUTH MEETING, PA 19462

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
INOVIO PHARMACEUTICALS, INC. [INO]

3. Date of Earliest Transaction (Month/Day/Year)  
05/12/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned or Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--|--|---|
|                                 |                                      |  | Code                           | V   | Amount   | (D)  | Price   |
| Common Stock                    | 05/13/2017                           |  | M                              |   | 10,000   | A  | 11  |
| Common Stock                    |                                      |  |                                |   | 50,000   | I  | By Morton Collins IRA account                         |
| Common Stock                    |                                      |  |                                |   | 6,650  | I  | By Spouse IRA account                                 |
| Common Stock                    |                                      |  |                                |   | 6,450  | I  | By Spouse   |
|                                 |                                      |  |                                |   | 64,750   | I  |   |

|              |        |   |  |                                     |
|--------------|--------|---|--|-------------------------------------|
| Common Stock |        |   |  | By Collins Children's Trust         |
| Common Stock | 4,500  | I |  | The Collins Family Foundation Trust |
| Common Stock | 64,750 | I |  | By Collin Grandchildren's Trust     |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Underlying Security (Instr. 3 and 4) |                  |                 |              |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|------------------|-----------------|--------------|
|  |  |                                      |  | Code                           | V   | (A)  | (D)   | Date Exercisable | Expiration Date | Title        |
| Restricted Stock Unit                      | (2)  | 05/12/2017                           |  | A                              | 7,669   | (2)  | (2)   |                  |                 | Common Stock |
| Common Stock Options                       | \$ 7.14  | 05/12/2017                           |  | A                              | 12,500  | 05/12/2018(3)  | 05/12/2027  |                  |                 | Common Stock |
| Restricted Stock Unit                      | (1)  | 05/13/2017                           |  | M                              | 10,000  | (1)  | (1)   |                  |                 | Common Stock |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| COLLINS MORTON<br>660 W. GERMANTOWN PIKE<br>SUITE 110<br>PLYMOUTH MEETING, PA 19462 |               | X         |         |       |

## Signatures

/s/ Morton  
Collins

05/15/2017

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of common stock. These restricted stock units vested 100% on May 13, 2017.
- (2) Each restricted stock unit represents a contingent right to receive one share of common stock. These restricted stock units will vest 100% on May 12, 2018.
- (3) Options to vest 100% on May 12, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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