

Edgar Filing: CONCERO INC - Form 5

CONCERO INC
Form 5
February 14, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 5
ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

☐ Check box if no longer subject to Section 16.
Form 4 or Form 5 obligations may continue.

☐ Form 3 Holdings Reported

☐ Form 4 Transactions Reported

1. Name and Address of Reporting Person(s)
Thatcher, Keith D.
6300 Bridgepoint Parkway
Building Three, Suite 200
Austin, TX 78730
2. Issuer Name and Ticker or Trading Symbol
Concero Inc. (CERO)
3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)
4. Statement for Month/Year
12/00
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)
☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Sr.VP, Chief Financial Officer
7. Individual or Joint/Group Filing (Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1) Title of Security	2) Trans- action Date (Month/ Day/Year)	3. Trans- action Code	4. Securities Acquired (A) or Disposed of (D) Amount	A or D Price
Common Stock	05/01/00	J	500	A \$4.8875
Common Stock				

Table II (PART 1) Derivative Securitized Acquired, Disposed of, or Beneficially Owned (Columns

1) Title of Derivative Security	2) Conversion or Exercise	3) Trans- action	4) Trans- action	5) Number of Derivative Securities Acquired (A)
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	Price of Derivative Security	Date	Code	or Disposed of (D)
			Code	A D
Non-Qualified Stock Option (right to buy)	\$1.9375			
Non-Qualified Stock Option (right to buy)	\$2.5800			
Non-Qualified Stock Option (right to buy)	\$3.8750			
Non-Qualified Stock Option (right to buy)	\$9.6250	05/31/00	A	25,000

Table II (PART 2) Derivative Securities Acquired, Disposed of, or Beneficially Owned (Columns

1) Title of Derivative Security	3) Trans- action Date	7) Title and Amount of Underlying Securities	8) Price of Deri- vative Security
		Title	Amount or Number of Shares
-			
Non-Qualified Stock Option (right to buy)		Common Stock	52,000
Non-Qualified Stock Option (right to buy)		Common Stock	7,385
Non-Qualified Stock Option (right to buy)		Common Stock	11,139
Non-Qualified Stock Option (right to buy)	05/31/00	Common Stock	25,000

[FN]

Explanation of Responses:

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- (1) Option to purchase 24,000 shares is currently exercisable. Option to purchase 24,000 shares becomes exercisable in two (2) equal installments commencing on September 29, 2001. Option to purchase an additional 4,000 shares becomes exercisable on September 29, 2005.
- (2) Option to purchase 7,385 shares is currently exercisable.
- (3) Option to purchase 5,569 shares is currently exercisable. Option to purchase 5,570 shares becomes exercisable in two (2) equal annual installments commencing on February 9, 2002.
- (4) Option to purchase 25,000 shares becomes exercisable in four (4) equal annual installments commencing on May 31, 2001.

SIGNATURE OF REPORTING PERSON

/S/ Thatcher, Keith D.

DATE: 01/08/01