

ROCKWELL AUTOMATION INC
Form 8-K
February 08, 2019

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT
PURSUANT TO SECTION 13 OR 15(d) OF
THE SECURITIES EXCHANGE ACT OF 1934

Date of report (Date of earliest event reported): February 8, 2019 (February 5, 2019)

Rockwell Automation, Inc.
(Exact name of registrant as specified in its charter)

| | | |
|----------|---------|------------|
| Delaware | 1-12383 | 25-1797617 |
|----------|---------|------------|

(State or other jurisdiction (Commission File Number) (IRS Employer Identification No.)

1201 South Second Street
Milwaukee, Wisconsin 53204
(Address of principal executive offices, including zip code)

+1 (414) 382-2000
(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter):

Emerging Growth Company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

INFORMATION TO BE INCLUDED IN THE REPORT

Item 5.07 Submission of Matters to a Vote of Security Holders.

a. The annual meeting of shareowners of the Company was held on February 5, 2019. The final results of each of the matters submitted to a vote of shareowners at the annual meeting are set forth below.

b. At the annual meeting, the shareowners:

i. voted to elect three directors of the Company to a term expiring in 2022. Each nominee was elected by a vote of the shareowners as follows:

| | Affirmative Votes | Broker Votes Withheld | Nonvotes |
|---------------------|-------------------|--------------------------|------------|
| Blake D. Moret | 80,455,515 | 4,770,504 | 15,555,079 |
| Thomas W. Rosamilia | 84,542,877 | 683,142 | 15,555,079 |
| Patricia A. Watson | 84,464,214 | 761,805 | 15,555,079 |

ii. voted on a proposal to approve the selection by the Audit Committee of the Company's Board of Directors of the firm of Deloitte & Touche LLP as the Company's independent registered public accounting firm for fiscal year 2019. The proposal was approved by a vote of the shareowners as follows:

| | |
|-------------------|------------|
| Affirmative votes | 97,521,025 |
| Negative votes | 2,954,138 |
| Abstentions | 305,935 |

iii. voted on a proposal to approve on an advisory basis the compensation of the Company's named executive officers as set forth in the Company's proxy statement for the February 5, 2019 annual meeting. The proposal was approved on an advisory basis by a vote of the shareowners as follows:

| | |
|-------------------|------------|
| Affirmative votes | 75,607,453 |
| Negative votes | 6,441,351 |
| Abstentions | 3,177,215 |
| Broker Nonvotes | 15,555,079 |

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ROCKWELL AUTOMATION, INC.

(Registrant)

By /S/ REBECCA W. HOUSE

Rebecca W. House

Senior Vice President, General Counsel

and Secretary

Date: February 8, 2019