

Edgar Filing: PHILIPPIN CHARLES J - Form 4

PHILIPPIN CHARLES J
Form 4
April 14, 2003

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935
or Section 30(h) of the Investment Company Act of 1940

[] Check box if no longer subject to Section 16. Form 4 or Form 5
obligations may continue. See Instruction 1(b).

1. Name and Address of Reporting Person*

| | | |
|---------------------|---------|----------|
| Philippin | Charles | J. |
| ----- | ----- | ----- |
| (Last) | (First) | (Middle) |
| 73B Baycrest Avenue | | |
| ----- | | |
| (Street) | | |
| West Hampton, | NY | 11977 |
| ----- | ----- | ----- |
| (City) | (State) | (Zip) |

2. Issuer Name and Ticker or Trading Symbol

Competitive Technologies, Inc. (CTT)

3. I.R.S. Identification Number of Reporting Person, if an entity
(Voluntary)

4. Statement for Month/Day/Year

April 11, 2003

5. If Amendment, Date of Original (Month/Day/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

| | |
|-----------------------------------------------------|------------------------------------------------|
| <input checked="" type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| <input type="checkbox"/> Officer (give title below) | <input type="checkbox"/> Other (specify below) |

7. Individual or Joint/Group Filing (Check Applicable Line)

| |
|------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| <input type="checkbox"/> Form filed by More than one Reporting Person |

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TABLE I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution, if any (Month/Day/Year) | 3. Transaction Code (Instr.8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3,4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership (D) |
|---------------------------------|--------------------------------------|-----------------------------------------------|-------------------------------|------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|------------------|
| Common Stock | 4/11/03 | | P | 5,000 A \$1.97 | 34,269 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b) (v)

TABLE II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Derivative Security (Instr. 3) | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution, if any (Month/Day/Year) | 4. Transaction Code (Instr.8) | 5. Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 6. Date Expirable and Expiration Date (Month/Day/Year) | 7. Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) |
|--------------------------------------------|-----------------------------------|--------------------------------------|-----------------------------------------------|-------------------------------|------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------|--------------------------------------------|
|--------------------------------------------|-----------------------------------|--------------------------------------|-----------------------------------------------|-------------------------------|------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------|--------------------------------------------|

Explanation of Responses:

/s/ Frank R. McPike, Jr.

April 14, 2003

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Attorney in Fact for Charles J. Philippin Date
** Signature of Reporting Person

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information Contained in this form are not required to respond unless the form displays a currently valid OMB Number.