

AMAZON COM INC  
Form 4  
November 17, 2015

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
PIACENTINI DIEGO

2. Issuer Name and Ticker or Trading Symbol  
AMAZON COM INC [AMZN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
11/15/2015

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

P.O. BOX 81226  
  
(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

Senior Vice President

SEATTLE, WA 98108-1226

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)         | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|--------------------------------------|--|--------------------------------|---|---|--|---|
|   |                                      |  | Code                           | V   | Amount  | (D)  | Price   |
| Common Stock, par value \$.01 per share | 11/15/2015                           |  | M                              |   | 1,266   | A  | \$ 0  |
| Common Stock, par value \$.01 per share | 11/15/2015                           |  | M                              |   | 5,750   | A  | \$ 0  |
| Common Stock, par value \$.01 per share | 11/16/2015                           |  | S <sup>(1)</sup>               |   | 500   | D  | \$ 622.788 <sup>(2)</sup>                             |
|   |                                      |  |                                |   | 100,412   | I  | In trust  |
|   |                                      |  |                                |   | 106,162   | I  | In trust  |
|   |                                      |  |                                |   | 105,662   | I  | In trust  |

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|   |            |                        |       |   |                                       |         |   |          |
|---|------------|------------------------|-------|---|---------------------------------------|---------|---|----------|
| Common Stock, par value \$.01 per share | 11/16/2015 | <u>S<sup>(1)</sup></u> | 500   | D | <u>\$ 623.818</u><br><sup>(3)</sup>   | 105,162 | I | In trust |
| Common Stock, par value \$.01 per share | 11/16/2015 | <u>S<sup>(1)</sup></u> | 1,063 | D | <u>\$ 625.119</u><br><sup>(4)</sup>   | 104,099 | I | In trust |
| Common Stock, par value \$.01 per share | 11/16/2015 | <u>S<sup>(1)</sup></u> | 1,340 | D | <u>\$ 626.3158</u><br><sup>(5)</sup>  | 102,759 | I | In trust |
| Common Stock, par value \$.01 per share | 11/16/2015 | <u>S<sup>(1)</sup></u> | 900   | D | <u>\$ 627.1644</u><br><sup>(6)</sup>  | 101,859 | I | In trust |
| Common Stock, par value \$.01 per share | 11/16/2015 | <u>S<sup>(1)</sup></u> | 1,959 | D | <u>\$ 628.2369</u><br><sup>(7)</sup>  | 99,900  | I | In trust |
| Common Stock, par value \$.01 per share | 11/16/2015 | <u>S<sup>(1)</sup></u> | 2,368 | D | <u>\$ 629.4947</u><br><sup>(8)</sup>  | 97,532  | I | In trust |
| Common Stock, par value \$.01 per share | 11/16/2015 | <u>S<sup>(1)</sup></u> | 2,291 | D | <u>\$ 630.5056</u><br><sup>(9)</sup>  | 95,241  | I | In trust |
| Common Stock, par value \$.01 per share | 11/16/2015 | <u>S<sup>(1)</sup></u> | 300   | D | <u>\$ 631.2067</u><br><sup>(10)</sup> | 94,941  | I | In trust |
| Common Stock, par value \$.01 per share | 11/16/2015 | <u>S<sup>(1)</sup></u> | 801   | D | <u>\$ 632.4393</u><br><sup>(11)</sup> | 94,140  | I | In trust |
| Common Stock, par value \$.01 per share | 11/16/2015 | <u>S<sup>(1)</sup></u> | 500   | D | <u>\$ 633.924</u><br><sup>(12)</sup>  | 93,640  | I | In trust |
| Common Stock, par value \$.01 per share | 11/16/2015 | <u>S<sup>(1)</sup></u> | 200   | D | <u>\$ 634.28</u><br><sup>(13)</sup>   | 93,440  | I | In trust |
|   | 11/16/2015 | <u>S<sup>(1)</sup></u> | 1,072 | D |                                       | 92,368  | I | In trust |

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|   |            |  |                  |       |             |             |        |   |  |          |
|---|------------|--|------------------|-------|-------------|-------------|--------|---|--|----------|
| Common Stock, par value \$.01 per share |            |  |                  |       | \$          |             |        |   |  |          |
|   |            |  |                  |       | 636.0163    |             |        |   |  |          |
|   |            |  |                  |       | <u>(14)</u> |             |        |   |  |          |
| Common Stock, par value \$.01 per share | 11/16/2015 |  | S <sup>(1)</sup> | 1,600 | D           | \$ 637.469  | 90,768 | I |  | In trust |
|   |            |  |                  |       |             | <u>(15)</u> |        |   |  |          |
| Common Stock, par value \$.01 per share | 11/16/2015 |  | S <sup>(1)</sup> | 1,422 | D           | \$ 638.7849 | 89,346 | I |  | In trust |
|   |            |  |                  |       |             | <u>(16)</u> |        |   |  |          |
| Common Stock, par value \$.01 per share | 11/16/2015 |  | S <sup>(1)</sup> | 200   | D           | \$ 639.93   | 89,146 | I |  | In trust |
|   |            |  |                  |       |             | <u>(17)</u> |        |   |  |          |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable Expiration Date                         | Title   | Amount or Number of Shares |
| Restricted Stock Unit Award                | \$ 0 <sup>(18)</sup>                                   | 11/15/2015                           |  | M                              | 1,266   | 05/15/2013 <sup>(19)</sup> 02/15/2018                    | Common Stock, par value \$.01 per share                       | 1,266                      |
| Restricted Stock Unit Award                | \$ 0 <sup>(18)</sup>                                   | 11/15/2015                           |  | M                              | 5,750   | 05/15/2014 <sup>(20)</sup> 02/15/2016                    | Common Stock, par value \$.01 per share                       | 5,750                      |

## Reporting Owners

| Reporting Owner Name / Address                               | Relationships |           |                       |       |
|--|---------------|-----------|-----------------------|-------|
|  | Director      | 10% Owner | Officer               | Other |
| PIACENTINI DIEGO<br>P.O. BOX 81226<br>SEATTLE, WA 98108-1226 |               |           | Senior Vice President |       |

## Signatures

/s/ DIEGO PIACENTINI, Senior Vice President 11/17/2015

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- (2) Represents the weighted average sale price. The highest price at which shares were sold was \$623.22 and the lowest price at which shares were sold was \$622.37.
- (3) Represents the weighted average sale price. The highest price at which shares were sold was \$624.16 and the lowest price at which shares were sold was \$623.55.
- (4) Represents the weighted average sale price. The highest price at which shares were sold was \$625.50 and the lowest price at which shares were sold was \$624.60.
- (5) Represents the weighted average sale price. The highest price at which shares were sold was \$626.55 and the lowest price at which shares were sold was \$625.68.
- (6) Represents the weighted average sale price. The highest price at which shares were sold was \$627.55 and the lowest price at which shares were sold was \$626.79.
- (7) Represents the weighted average sale price. The highest price at which shares were sold was \$628.57 and the lowest price at which shares were sold was \$627.80.
- (8) Represents the weighted average sale price. The highest price at which shares were sold was \$629.95 and the lowest price at which shares were sold was \$628.96.
- (9) Represents the weighted average sale price. The highest price at which shares were sold was \$630.98 and the lowest price at which shares were sold was \$630.00.
- (10) Represents the weighted average sale price. The highest price at which shares were sold was \$631.45 and the lowest price at which shares were sold was \$631.05.
- (11) Represents the weighted average sale price. The highest price at which shares were sold was \$632.89 and the lowest price at which shares were sold was \$632.05.
- (12) Represents the weighted average sale price. The highest price at which shares were sold was \$634.18 and the lowest price at which shares were sold was \$633.23.
- (13) Represents the weighted average sale price. The highest price at which shares were sold was \$634.30 and the lowest price at which shares were sold was \$634.26.
- (14) Represents the weighted average sale price. The highest price at which shares were sold was \$636.34 and the lowest price at which shares were sold was \$635.89.
- (15) Represents the weighted average sale price. The highest price at which shares were sold was \$637.83 and the lowest price at which shares were sold was \$637.05.
- (16) Represents the weighted average sale price. The highest price at which shares were sold was \$639.03 and the lowest price at which shares were sold was \$638.28.

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- (17) Represents the weighted average sale price. The highest price at which shares were sold was \$639.96 and the lowest price at which shares were sold was \$639.90.
- (18) Converts into Common Stock on a one-for-one basis.
- This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 1,779 shares on each of May 15, 2013, August 15, 2013, November 15, 2013, and February 15, 2014; 2,319 shares on each of May 15, 2014 and August 15, 2014; 2,318 shares on each of November 15, 2014 and February 15, 2015; 1,267 shares on May 15, 2015; 1,266 shares on each of August 15, 2015, November 15, 2015, and February 15, 2016; 5,478 shares on May 15, 2016; 5,477 shares on each of August 15, 2016, November 15, 2016, and February 15, 2017; 4,221 shares on each of May 15, 2017 and August 15, 2017; and 4,220 shares on each of November 15, 2017 and February 15, 2018.
- This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 5,750 shares on each of May 15, 2014, August 15, 2014, November 15, 2014, February 15, 2015, May 15, 2015, August 15, 2015, November 15, 2015, and February 15, 2016.
- (20)

### Remarks:

The reporting person undertakes to provide, upon request by the staff of the SEC, the issuer, or a security holder of the issuer,

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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