

Edgar Filing: HUCKEL HUBERT MD - Form 4

HUCKEL HUBERT MD  
 Form 4  
 July 10, 2002  
 FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| | Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

|   |         |          |                              |                    |                    |  |
|---|---------|----------|------------------------------|--------------------|--------------------|--|
| 1. Name and Address of Reporting Person*/ |         |          | 2. Issuer Name and Ticker    |                    | / 6. Relationship  |  |
|   |         |          | or Trading Symbol            |                    | / (Check all       |  |
|   |         |          |                              |                    | / X Direct         |  |
|   |         |          |                              |                    | / ___ Office       |  |
| Huckel, Hubert                            |         |          | ThermoGenesis Corp. and KOOL |                    | / (give            |  |
|   |         |          |                              |                    | /                  |  |
| -----/-----/-----/                        |         |          | -----/-----/-----/           |                    | -----/-----/-----/ |  |
| (Last)                                    | (First) | (Middle) | / 3. IRS or                  | / 4. Statement for | / 7. Individual    |  |
|   |         |          | / Social Security            | / (Month/Year)     | / (Check Appl      |  |
|   |         |          | / Number of                  | /                  | /                  |  |
|   |         |          | / Reporting Person           | / 6/2002           | / X Form fi        |  |
| c/o ThermoGenesis Corp.                   |         |          |                              |                    | /                  |  |
| 3146 Gold Camp Drive                      |         |          |                              |                    | /                  |  |
| -----/                                    |         |          | -----/                       |                    | -----/             |  |
| (Street)                                  |         |          | /                            | / 5. If Amendment, | / ___ Form fi      |  |
|   |         |          | /                            | / Date of          | / Reporti          |  |
|   |         |          | /                            | / Original         | /                  |  |
|   |         |          | /                            | / (Month/Year)     | /                  |  |
| Rancho Cordova,                           | CA      | 95670    | /                            | /                  | /                  |  |
| -----/                                    |         |          | -----/                       |                    | -----/             |  |
| (City)                                    | (State) | (Zip)    | /                            | /                  | /                  |  |

TABLE I - NONDERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL

|          |               |                    |                            |              |       |                 |
|----------|---------------|--------------------|----------------------------|--------------|-------|-----------------|
| 1. Title | / 2. Trans-   | / 3. Transaction / | 4. Securities Acquired (A) |              |       | / 5. Amount of  |
| of       | / action      | / Code /           | or Disposed of (D)         |              |       | Securities      |
| Security | / Date        | / (Instr. 8) /     | (Instr. 3,4 and 5)         |              |       | Beneficially    |
| (Inst.3) | / (Mo/Day/Yr) | -----/             | -----/                     |              |       | Owned at End    |
|          | /             | / Code / V /       | Amount                     | /(A) or (D)/ | Price | of Month        |
|          | /             | / / /              | /                          | /            | /     | (Inst. 3 and 4) |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.  
 \*If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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FORM 4 (continued)

TABLE II - DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED  
 (e.g., puts, calls, warrants, options, convertible securities)

| 1.<br>Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Con-<br>version<br>or<br>exercise<br>Price of<br>of<br>Deriv-<br>ative<br>Secu-<br>rity | 3.<br>Trans-<br>action<br>Date<br>(Mo.<br>/ Day<br>/ Year) | 4.<br>Trans-<br>action<br>Code<br>(Inst.8) | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Inst.3,4,5) | 6.<br>Date<br>Exercisable<br>and<br>Expiration<br>Date<br>(Month/Day/Year) | 7.<br>Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3,4) | 8.<br>Price<br>of<br>Deriv-<br>ative<br>(Inst.4) |
|--|---|--|--|---|--|--|--|
| Options to<br>Purchase<br>Common<br>Stock              | \$1.125   | 6/28/02  | D(1)                                       | 20,000  | 1/1/00<br>7/29/02  | Common<br>Stock  | 20,000<br>-                                      |
| Options to<br>Purchase<br>Common<br>Stock              | \$1.125   | 6/28/02  | A  | 20,000  | 1/1/00<br>7/29/07  | Common<br>Stock  | 20,000<br>-                                      |

Warrants

Explanation of Responses:

- (1) Exercise period of option was extended, which is treated as a cancellation of option in connection with the option.

/s/ HUBERT HUCKEL  
 Hubert Huckel

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\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.