

Hockaday Irvine O Jr
Form 4
May 03, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Hockaday Irvine O Jr

2. Issuer Name **and** Ticker or Trading
Symbol
ESTEE LAUDER COMPANIES
INC [EL]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

2600 GRAND AVENUE, SUITE
450

3. Date of Earliest Transaction
(Month/Day/Year)
05/02/2019

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

KANSAS CITY, MO 64108

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Class A Common Stock	05/02/2019		M		2,906	A \$ 126.02	16,446 D
Class A Common Stock	05/02/2019		M		2,094	A \$ 78.36	18,540 D
Class A Common Stock	05/02/2019		S		5,000	D \$ 170.15 (1) (2)	13,540 D
Class A	05/03/2019		M		2,603	A \$ 78.36	16,143 D

Edgar Filing: Hockaday Irvine O Jr - Form 4

Common
Stock

Class A Common Stock	05/03/2019	M	3,972	A	\$ 84.35	20,115	D
----------------------------	------------	---	-------	---	----------	--------	---

Class A Common Stock	05/03/2019	M	4,374	A	\$ 71.33	24,489	D
----------------------------	------------	---	-------	---	----------	--------	---

Class A Common Stock	05/03/2019	S	6,103	D	\$ <u>(1)</u> <u>(3)</u> 170.26	18,386	D
----------------------------	------------	---	-------	---	------------------------------------	--------	---

Class A Common Stock	05/03/2019	S	4,747	D	\$ <u>(1)</u> <u>(4)</u> 171.31	13,639	D
----------------------------	------------	---	-------	---	------------------------------------	--------	---

Class A Common Stock	05/03/2019	S	99	D	\$ 172.09	13,540	D
----------------------------	------------	---	----	---	--------------	--------	---

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Option (Right to Buy) ⁽⁵⁾	\$ 126.02	05/02/2019		M	2,906	11/14/2018 11/14/2027	Class A Common Stock	2,906
Option (Right to Buy) ⁽⁵⁾	\$ 78.36	05/02/2019		M	2,094	11/11/2017 11/11/2026	Class A Common Stock	2,094
	\$ 78.36	05/03/2019		M	2,603	11/11/2017 11/11/2026		2,603

Option (Right to Buy) ⁽⁵⁾								Class Common Stock	
Option (Right to Buy) ⁽⁵⁾	\$ 84.35	05/03/2019	M	3,972	11/12/2016	11/12/2025		Class A Common Stock	3,972
Option (Right to Buy) ⁽⁵⁾	\$ 71.33	05/03/2019	M	4,374	11/14/2015	11/14/2024		Class A Common Stock	4,374

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hockaday Irvine O Jr 2600 GRAND AVENUE, SUITE 450 KANSAS CITY, MO 64108	X			

Signatures

Irvine O. Hockaday, Jr., by Maureen Sladek,
Attorney-in-fact

 **Signature of Reporting Person

Date _____

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The number of securities reported represents an aggregate number of shares sold in multiple open market transactions over a range of sales prices. The price reported represents the weighted average price. The Reporting Person undertakes to provide the staff of the SEC, the Issuer, or a stockholder of the Issuer, upon request, the number of shares sold by the Reporting Person at each separate price within the range.
- (2) Sales prices range from \$170.00 to \$170.40 per share, inclusive.
- (3) Sales prices range from \$170.01 to \$170.99 per share, inclusive.
- (4) Sales prices range from \$171.02 to \$171.84 per share, inclusive.
- (5) Stock Options granted pursuant to the Issuer's Non-Employee Director Share Incentive Plan.
- (6) Not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.